# Wireless Communication and Networks

### UPENA DALAL

Associate Professor Department of Electronics Engineering Sardar Vallabhbhai National Institute of Technology Surat



### OXFORD

UNIVERSITY PRESS

Oxford University Press is a department of the University of Oxford. It furthers the University's objective of excellence in research, scholarship, and education by publishing worldwide. Oxford is a registered trade mark of Oxford University Press in the UK and in certain other countries.

Published in India by Oxford University Press YMCA Library Building, 1 Jai Singh Road, New Delhi 110001, India

© Oxford University Press 2015

The moral rights of the author/s have been asserted.

First published in 2015

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, without the prior permission in writing of Oxford University Press, or as expressly permitted by law, by licence, or under terms agreed with the appropriate reprographics rights organization. Enquiries concerning reproduction outside the scope of the above should be sent to the Rights Department, Oxford University Press, at the address above.

> You must not circulate this work in any other form and you must impose this same condition on any acquirer.

> > ISBN-13: 978-0-19-809888-1 ISBN-10: 0-19-809888-X

Typeset in Times New Roman by Cameo Corporate Services Limited, Chennai Printed in India by Magic International (P) Ltd, Greater Noida

Third-party website addresses mentioned in this book are provided by Oxford University Press in good faith and for information only. Oxford University Press disclaims any responsibility for the material contained therein.

## Preface

A communication system is used for transmitting information or data from one point to another. Earlier communication systems, such as telegraphy and telephony, were wired systems, which transmitted information through wires. A major breakthrough in the field came with the advent of wireless technology, which uses radio waves to transmit data, as opposed to wires, to carry signals over the communication path. Wireless communication deals with the principles, techniques, and analytical tools underlying wireless systems, other emerging systems, and standards in the field.

Wireless communication has become the fastest growing segment of the telecommunications industry and has led to exciting technological advances over the last few decades. This has radically changed the way people communicate across the world. Initially, wireless communication was mainly used in military applications. With the commercialization of wireless systems and resource-sharing networks, communicating with people over mobile phones even on the move has become easy, and access to social media and applications provided by mobile service providers has made it much more convenient. In the future, the telecommunications industry is set to become all-wireless with an 'anywhere, anytime, and for anybody' communications scenario. Users will have a single and unique identification number—universal telecommunication number (UTN)—which would enable users to receive as well as make calls from any terminal on any network. The stage is set for 4G, the fourth generation of mobile telephones, which in addition to the usual services would also enable streaming multimedia, HDTV content, digital video broadcasting (DVB), and ultra-broadband Internet access. Mobile companies are already aiming for 5G technology, which has been visualized as the convergence of network access technologies.

#### **ABOUT THE BOOK**

This book is primarily designed for undergraduate students of electronics and communications engineering as well as computer engineering, and is suitable for courses on mobile communication, wireless communication, and mobile networks. Basic knowledge of the concepts of communication, signal processing, and probability theory is assumed to be a pre-requisite. A lucid approach, both in terms of language and content, has been adopted throughout the text. Beginning with the fundamental concepts of wireless communication, the book comprehensively covers the various aspects of wireless systems.

As the majority of wireless communication systems today are completely digital, this text focuses only on all the aspects of digital communication in the context of wireless channels, and analog methods have been completely omitted from the text.

The book is divided into four parts which represent the four important aspects of practical wireless systems—Wireless Communication Prerequisites (Chapters 1 and 2), Wireless Channels and Modelling (Chapters 3 and 4), Wireless Communication Techniques (Chapters 5–9), and Wireless Networks (Chapters 10–12). Each chapter begins with a theme and key topics, and gradually explores concepts through detailed explanations and illustrations. A large variety of solved examples have been added to elucidate the application of the theory covered in each chapter. Review questions, multiple-choice questions, and numerical exercises add value to the rich content of the book. The book also contains appendices on the additional topics associated with the subject.

#### **CONTENTS AND COVERAGE**

Chapter 1 is the introductory chapter, which describes the basic terminology associated with wireless communication in the present scenario and trends in wireless systems. It discusses various types of wireless

#### vi Preface

systems in terms of major advancements identified in different generations. The chapter helps to develop a basic understanding of the subject, so that concepts in later chapters can be understood easily.

*Chapter 2* is related to the infrastructure development of cell-based wireless communication in multi-user environments. An understanding of cell theory is necessary for deciding the size of the cell, locating the transmitter in a cell, and splitting the cell to cover a higher population density. Frequency reuse is the key concept to utilize the available channels efficiently, but it leads to co-channel interference. By utilizing cellular theory, all these problems can be solved. The chapter briefly discusses traffic engineering as well.

*Chapter 3* describes radio propagation over a wireless channel. Starting from the free-space propagation model, different types of long-distance radio propagations are discussed in the chapter. Path loss model and multipath effect are also explained; these are necessary to understand the behaviour of the channel in certain frequency ranges. It also delves into the different types of fading effects (such as delay spread and Doppler effect), which are very common in the multipath environment.

*Chapter 4* covers the different channel models represented in their mathematical forms. The chapter discusses popular channel models such as the Rayleigh model, the Rician model, and Nakagami, which are all characterized by their probability density functions (PDFs). It also covers popular urban models such as the Okumura and Hata models.

*Chapter 5* mainly deals with the concept of source coding and waveform coding. Most real-time signals are analog in nature. Beginning from the digitization of analog signals, further processing must be applied to the source signal to compress or convert it into a standard format. The chapter describes the analog-to-digital conversion process, as well as the errors which result from the conversion, for example, aliasing. Digital transmission formats, special voice coders for low bit rate signals, and data compression methods are also discussed in this chapter.

*Chapter 6* describes error handling over a noisy channel. As the wireless channel is more susceptible to noise and multipath effects, error-correcting codes are required. Hence, in this chapter, we describe most of the error-correcting schemes with their error-correction capabilities. The chapter also demonstrates the latest developments, such as Turbo codes, which are increasingly becoming popular as they approach Shannon's limit for bit error rate (BER) performance.

*Chapter* 7 helps in the understanding of all the basic single- and multi-carrier digital modulation schemes along with their mathematical representations, block diagrams, constellation diagrams, and other important parameters. The chapter comprises conventional methods such as Amplitude shift keying (ASK), frequency shift keying (FSK), binary phase shift keying (BPSK), M-PSK, and quadrature amplitude modulation (QAM) as well as the modified versions of the conventional modulation schemes, such as differential PSK (DPSK), offset keyed quadrature PSK (OKQPSK), minimum shift keying (MSK), Gaussian MSK (GMSK), and M-FSK. Finally, the chapter explains spread spectrum modulation (SSM) and orthogonal frequency division multiplexing schemes, which are especially suitable for the 3G and 4G systems, and elucidates how these techniques are superior to conventional digital modulation techniques.

*Chapter 8* illustrates the diversity techniques, equalization methods, and channel estimation to mitigate channel effects. Most of these techniques are important at the receiver's end and help improve the quality of signal reception. An understanding of these concepts is very important because, as a result of these techniques, phase ambiguity due to multipath, frequency-dependent effects, or fading effects can be considerably reduced at the receiver side, and BER performance can be improved. Multiple input, multiple output (MIMO), the latest diversity-based technique, which is based on spatial diversity, is also covered in this chapter.

Until Chapter 8, all the basic theories and fundamentals for establishing a single wireless digital link are described. From Chapter 9 onwards, the focus shifts to the multi-user system environment.

*Chapter 9* is related to multiple access techniques. There are numerous ways in which multiple users are allowed to access the available wireless channels on a sharing basis, so that all the users can communicate successfully without any partiality and without interference from one another. This chapter throws light on some of the schemes for multi-user environments in which an individual user's information is transmitted independently, such as FDMA, TDMA, CDMA, OFDMA, and space division multiple access (SDMA). For packet radio systems, random access schemes such as ALOHA, slotted ALOHA, and carrier sense multiple access with collision detection (CSMA/CD) are used for sharing packets over a channel, rather than complete information transmission at a time.

*Chapter 10* summarizes the concepts of conventional networking and its applications in a wireless networking environment. Starting with the OSI reference model and layered concept of protocol design, the chapter discusses TCP/IP protocol. It also describes the basic constraints of networking and gives some basic solutions, such as MAC scenario, routing protocols, and transport scenario, along with their applications, and highlights the importance of mobile computing.

The last two chapters provide an introduction to all the existing wireless digital systems, which have been developed on the basis of certain standards and protocols.

*Chapter 11* describes the infrastructure-based/cell-based networks which are established permanently and support mobility, such as GSM, CDMA, UMTS, WLL, and LTE.

*Chapter 12* describes special categories of wireless systems like ad-hoc networks (e.g., bluetooth), ad-hoc networks with the support of cellular concept, and networks mainly designed for data access or transfer (e.g., Wi-fi and WiMAX). The chapter also expounds on Zigbee, which is a special protocol for the wireless sensor network, and UWB, which is used for ultra-high speed indoor communication.

*Appendices A* to *F* deal with linear systems theory, algebra for the linear system, probability theory, DSP fundamentals applied to OFDM processing, satellite communication aspects, and Erlang and Poisson traffic tables.

### **ONLINE RESOURCES**

The online resource centre provides resources for faculty and students. The following resources are available for faculty and students using this text:

For Faculty Solutions manual PowerPoint presentations For Students MATLAB codes

### ACKNOWLEDGEMENTS

First and foremost, I thank God. I sincerely thank the senior teachers of my department, retired Prof. Mrs Nila Desai and retired Prof. K.U. Joshi, and my colleagues Mrs Jigisha Patel and Mrs Shweta Shah for their encouragement and valuable support. I am grateful to my husband Devang for his continuous motivation and support. It has been a great pleasure and honour to be associated with Oxford University Press, India. I express my deep gratitude to the entire editorial team as well as the production department of OUP India for publishing this book with a high degree of precision and accuracy. Every effort has been made to produce an error-free text; however, I would be grateful if readers point out any unintended errors or discrepancies. Suggestions for improving the presentation and contents of the book can be sent to the publisher through their website www.oup.co.in or to the author at upena\_dalal@yahoo.com.

**Upena Dalal** 

## Features of

#### Theme of the Chapter

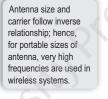
c mainly deals with the concepts of wireless digital communication. Though it is assumed that readers of th fimiliar with the basic theory of communication, any required concepts are revised as a ready reference tudents must be familiar with wireless communication any system—both conventional and later. This chapter is a brief revision of the basis of communication and mores on to discuss wireless systems. The chapter and digital wireless link with all the necessary blocks that form the basis for wireless systems. The chapter and transmission rate, channel capacity, bundwidth, and signal-to-noise ratio parameters deciding the perforthis link along with the types of signals useful for communication theory. It further explores the need for of the best devoluments in wireless communications, which is possible only if the standards used toda ses systems are known. Evolution of a system is linked with the previous systems and thenew system is opposible of the first devolution of the system considering achieves and the new system ing these from the rool level of the wireless link, considering achieves and were stage of the wireless with a to the best devolution of the system can be correlated and the best solutions can be identified for *new, anytine communication*.

#### Theme of the Chapter

Provides a glimpse of the topics that the readers are going to read and understand from a chapter

#### Sidebar

Captures important statements to facilitate easy grasp and quick recap



In wireless communication, the final form of transmission is always analog, irrespective of whether the modulation is analog or digital.

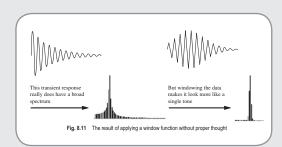
Note: It is observed that an analog signal consumes less spectrum compared to its digital counterpart and hence requires les bandwidth, because the digital counterpart is the result of sharp transitions.

Note: Due to amplitude compression, logarithmic increase in quantization noise throughout the dynamic range of a sampled signal will keep the SQNR constant throughout this dynamic range.

Note: For 4 kHz voice digitization, the standard word size used is 8 bits. If an input analog signal is sampled 8000 times/s and each sample is given a code word that is 8 bits long, then the maximum transmission bit rate for telephony systems using PCM will be 64,000 bits/s.

#### Box

Throughout each chapter, boxes provide a brief description of some key concepts and their significance



#### Note

Highlights important statements so that readers don't miss them while reading

#### WIRED MEDIA AS TRANSMISSION LINE

cling wires become a transmission line? It is when the capacitance between the wires and tributed instead of lumped. This begins to happen when the wire approaches the dimension frequency fare related by  $\lambda = w\hbar$ . At sufficiently high frequencies, when the length of the vices is in the order of the wavelength or larger, the voltages and currents between there avel back and forth on the wires. Hence, a signal sent out by one device propagates as a the wave is reflected unless the receiving device is properly terminated or matched. If the an interfere with the incident wave, making communication unreliable or even impossible montant when networking computers, printers, and other peripherals, which must be priaminision lines are used to carry the signal from the transmitter front end to the antenne

#### Illustrations

Important topics have been well-supported with suitable illustrations to allow easy visualization of difficult concepts. The book contains close to 350 self-explanatory illustrations.

## the Book

#### **Examples**

Every chapter contains plenty of solved examples to demonstrate the applicability of the concepts discussed.

Example 5.2 The following are the readings for the	Hence, the eight quantization
measurement of quantization error in five consecu-	0.5, 0.75, 1.0, 1.25, 1.50, 1.75, and
tive samples. The number of quantization levels in the	The measured samples will be
dynamic range of 2 V is eight.	ing quantization values:
Sample 1: 1.2 V	Sample 1: 1.25 V quantization
Sample 2: 1 V	Sample 2: 1.0 V quantization
Sample 3: 0.95 V	Sample 3: 1.0 V quantization
Sample 4: 1.41 V	Sample 4: 1.5 V quantization
Sample 5: 1.65 V	Sample 5: 1.75 V quantization
Find the quantization error in terms of its mean	Mean square error
square value.	$= [(0.05)^2 + 0 + (0.05)^2 +$
Outstand the stand of the	= [0.0025 + 0.0025 + 0.00
Solution If the dynamic range is 2 V, then the smallest step size will be 2/8 = 0.25 V.	= 0.0231/5 = 0.00462
step size will be $2/8 = 0.25$ v.	Root mean square error = 0.067

#### 6.4 CHANNEL CODING AND TRADE-OFF

Error-correcting codes can be regarded Figure 6.5 compares two curves depic *noise density ratio*  $(E_b/N_o)$  (which is th a digital signal representing the signal scheme without coding; the other repre Though channel coding is incorpora

#### Coverage

The book covers topics related to wireless communications as well as wireless networks. Topics such as source coding, channel coding, multiple access, modulation techniques, spread spectrum, diversity, and equalization are covered in great detail.

#### **Modular Organization**

Mutually exclusive chapters combined with their modular organization allows flexibility to the instructors, so that they can pick chapters as per their respective syllabi requirements.



EXERC	ISES
Multiple-choice Questions 3.1 The electric field of an EM wave at a point in free space is in the positive Y direction and the magnetic field is in the positive Y direction. The direction of power flow will be in the (a) positive X direction (b) equive Z direction (b) positive Y direction (c) equive Z direction (c) positive S direction (c) equive Z direction (c) positive S is the angle of nickness at the iono- direction of the space of the second the direction of the space of the second the MUIF equals (c) $f_{cond} = (c) + f_{cond} = (c) + f_{cond$	<ol> <li>The virtual higher of an ionospheric layer is (i) ione with the higher how multiple as (ii) how the higher how we can ally reach (iii) how sime as the height a wave actually read (iii) how sime as the height a wave actually read (iii) how any how how here a how here a how (iii) how here a how here a how here (iii) how here a how here a how here (iii) how here a how here a how here (iiii) how here a how here a how here (iii) how here a how here a how here (iii) how here a how here a how here (iiii) how here a how here a how here a how here (iiii) how here a how here a how here a how here a how here (iiii) how here a how here (iiii) how here a how here (iiii) how here a how here a how h</li></ol>

#### **Exercises**

Has a rich set of end-chapter exercises with close to 250 review questions, more than 200 Multiple-choice Questions, and 100 unsolved problems.

## **Brief Contents**

Preface v Features of the Book viii Detailed Contents xi List of Symbols xvii

PART 1: WIRELESS COMMUNICATION PREREQUISITES	1
<ol> <li>Fundamentals and Present Scenario</li> <li>Cellular Theory</li> </ol>	3 42
PART 2: WIRELESS CHANNELS AND MODELLING	79
<ol> <li>Radio Propagation Over Wireless Channel</li> <li>Wireless Channel Modelling</li> </ol>	81 130
PART 3: WIRELESS COMMUNICATION TECHNIQUES	163
<ol> <li>Source Coding Techniques</li> <li>Channel Coding Techniques</li> <li>Modulation Techniques</li> <li>Zero Intersymbol Interference, Diversity, Estimation, and Equalization</li> <li>Multiplexing and Multi-user Access</li> </ol>	165 219 257 324 357
PART 4: WIRELESS NETWORKS	381
<ol> <li>Networking Fundamentals</li> <li>Cellular Networks</li> <li>Ad hoc Networks</li> </ol>	383 411 482
Appendix A: Linear Systems Theory525Appendix B: Algebra for the Linear System528Appendix C: Probability Theory530Appendix D: DSP Fundamentals Applied to OFDM Processing537Appendix E: Satellite Communication Aspects539Appendix F: Erlang and Poisson Traffic Tables543Answers to Multiple-choice Questions552Index554	

About the Author 563

## **Detailed Contents**

Preface v Features of the Book viii Brief Contents x List of Symbols xvii

	PART 1: WIRELESS COMMUNICATION PREREQUISITES				
1.	1.1	<b>lamentals and Present Scenario</b> Fundamental Terms of Communicatio General Model for Wireless Digital	<b>3</b> n 3	<ul> <li>2.2.3 Real-world Cells 48</li> <li>2.3 Cellular System Components</li> <li>2.3.1 Analog Circuit-switched Cellular</li> </ul>	49
	1.3	Communication Link Bandwidth Types of Signals 1.4.1 Analog and Digital Signals 15 1.4.2 Continuous-time and Discrete- time Signals 16 1.4.3 Periodic and Aperiodic Signals 1.4.4 Deterministic and Probabilistic Signals 17	7 10 15 <i>16</i>	System 49 2.3.2 Digital Circuit-switched Cellular System 50 2.3.3 Packet-switched Cellular System 5 2.4 Antennas for Cellular Systems 2.4.1 Antennas for Base Stations 52 2.4.2 Adverse Effects of Base Stations 2.4.3 Antennas for Mobile Radio Frequency Front End 54	51 53
	1.6 1.7 1.8 1.9 1.10	<ul> <li>1.4.5 Energy and Power Signals 17</li> <li>Types of Communication Systems</li> <li>Wired Versus Wireless Media</li> <li>Types of Wireless Systems</li> <li>Cellular Networks</li> <li>Existing Technologies</li> <li>Evolution of Wireless Systems</li> <li>1.10.1 First- to Fourth-generation Wireless Systems 32</li> <li>1.10.2 Beyond Third Generation 35</li> <li>Licensed and Unlicensed Bands for</li> <li>Existing Wireless Systems</li> <li>1.11.1 Spectral Policies 36</li> </ul>	19 20 23 24 24 30 35	<ul> <li>2.5.1 Mobile-originated Call 54</li> <li>2.5.2 Mobile-terminated Calls 55</li> <li>2.5.3 Network-originated or Landline- originated Call 56</li> <li>2.5.4 Call Termination 56</li> <li>2.5.5 Handoff Procedure 56</li> <li>2.6 Channel Assignment</li> <li>2.7 Cellular Interferences</li> <li>2.8 Sectorization</li> </ul>	<ul> <li>54</li> <li>60</li> <li>62</li> <li>64</li> <li>66</li> <li>70</li> </ul>
2.	2.1	Ilar Theory Introduction Cellular Infrastructure 2.2.1 Cells, Clusters, and Cell Splitting 2.2.2 Cellular Frequency Reuse 46	<b>42</b> 42 44 <i>44</i>	<ul> <li>2.9.3 Erlang B Formula 72</li> <li>2.9.4 Erlang C Formula 73</li> <li>2.10 Spectrum Efficiency of Cellular Systems</li> </ul>	73 74

#### PART 2: WIRELESS CHANNELS AND MODELLING

- 3. Radio Propagation Over Wireless Channel
  - 3.1 Wireless Channel and Radio Propagation Fundamentals

- 3.1.1 Radio Waves 82
- 3.1.2 Basic Propagation Mechanisms 83

81

81

79

	3.1.3 Radio Propagation in Atmosphere	ric
	Layers 86	
3.2	Radio Communication Cases	88
	3.2.1 Long-distance Communication	88
	3.2.2 Short- and Medium-distance	
	Communications 90	
3.3	Free Space Propagation Model	90
3.4	Ground Wave Propagation	94
3.5	Ionospheric Propagation	96
3.6	Tropospheric Propagation	100
3.7	Channel Noises and Losses	101
	3.7.1 Different Types of Noises 102	
	3.7.2 Noise Parameters 103	
	3.7.3 Ground Reflection Loss 104	
	3.7.4 Diffraction Loss 107	
	3.7.5 Total Path Loss 107	
3.8	Fading in Land Mobile Systems	107
	3.8.1 Large-scale Fading 109	
	3.8.2 Small-scale Fading 110	
	3.8.3 Delay Spread and Intersymbol	
	Interference 111	
3.9	Fading Effects on Signal and Freque	ncy
	Components	116
3.10	Shadowing	122
3.11	Signal Outages and Fading Margin	122
Wirel	ess Channel Modelling	130
	Channel Modelling	130
	4.1.1 Channel Impulse Response 13	

4.1.2 Power Delay Profiles 132

4.

### PART 3: WIRELESS COMMUNICATION TECHNIQUES

5.	Sour	ce Coding Techniques	165
	5.1	Analog-to-Digital Conversion	165
		5.1.1 Aliasing 167	
		5.1.2 Antialiasing 168	
	5.2	Wireless Multimedia Communication	169
		5.2.1 Basic Properties of Speech	
		Signal 170	
		5.2.2 Digital Baseband 173	
	5.3	Source Coding Stages	175
		5.3.1 Analog Signal 175	
		5.3.2 Digital Signal 176	
	5.4	Quantization Techniques	176
		5.4.1 Uniform Quantization 177	
		5.4.2 Non-uniform Quantization 178	

	4.1.3 Channel Modelling and Probabil	ity
	Theory 133	
	4.1.4 Correlation of Fading and	
	Autocovariance 134	
	4.1.5 Model of Multipath Effect 135	
	4.1.6 Multipath Shape Factors 136	
	4.1.7 Considerations for Shadowing	
	Effect 138	
4.2	Additive White Gaussian Noise	138
4.3	Representation of Discrete	
	Channel by Filter	140
4.4	Stochastic Radio Channel	
	Modelling	141
4.5	Flat Fading Channel Modelling	143
4.6	Wideband Time-Dispersive Channel	
	Modelling	144
4.7	Rayleigh Fading Model	145
	4.7.1 Multiple Rayleigh Fading	
	Signals 147	
	4.7.2 Probability Density Function of	
	Rayleigh Signal Amplitude 149	
4.8	Rician Fading Model	151
)	4.8.1 Multiple Rician Fading	
	Signals 152	
	4.8.2 Probability Density Function of	
	Rician Signal Amplitude 153	
4.9	Nakagami Fading Model	153
4.10	Comparison of Rayleigh, Rician, and	
	Nakagami Models	154
4.11	Okumura–Hata Path Loss Model	155

#### 163

5.4.3 Adaptive Quantization 181	
5.4.4 Vector Quantization 182	
5.5 Pulse Code Modulation	185
5.6 Delta Modulation	188
5.7 Modifications to Pulse Code	
Modulation	189
5.7.1 Differential Pulse Code	
Modulation 189	
5.7.2 Adaptive Differential Pulse Co	ode
Modulation 191	
5.8 Information Sources and Entropy	193
5.9 Information Source Coding	
Fundamentals	195
5.9.1 Entropy Coding 195	

		5.9.2 Data Compression 197	
		5.9.3 Lossy and Lossless	
	- 10	Compression 198	100
	5.10	Vocoders	198
		5.10.1 Theory of Vocoders 199	
		5.10.2 Types of Vocoders 201	
	5.11	Source Coding in Frequency Domain	209
		5.11.1 Sub-band Coding 209	
		5.11.2 Transform Coding 211	
	5.12	Encryption and Decryption	213
6	Chan	nel Coding Techniques	219
•		Channel Coding and Decoding	219
		Channel Capacity	213
		Shannon Limit	223
		Channel Coding and Trade-Offs	226
		Performance Terminologies	227
		Statistical Concepts for Decoding	229
	6.7	Channel Coding Schemes	231
		6.7.1 Error-detection Codes 231	
		6.7.2 Error-correction Codes 233	
	6.8	Block Codes	233
		6.8.1 Hamming Codes 234	
		6.8.2 Bose-Chaudhuri-Hocquengher	n
		Codes 235	
		6.8.3 Reed-Solomon Codes 236	
	6.9	Convolutional Codes	237
		6.9.1 Convolutional Code	
		Generation 238	
		6.9.2 Convolutional Encoder 240	
		6.9.3 Trellis Diagram 241	
		6.9.4 Decoding Methods for	
		Convolutional Codes 241	
	6.10	Code Puncturing	246
		Turbo Codes	246
	6.12	Interleaver	248
		Performance of Turbo Codes	250
		Applications of Turbo Codes	252
	0.11		202
7.		Ilation Techniques	257
	7.1	Digital Modulation and Performance	
		Parameters	258
		7.1.1 Coherent and Non-coherent	
		Systems 258	
		7.1.2 Polar Representation and	
		In-phase–Quadrature	
		Diagrams 259	

	7.1.3 Constellation Diagrams 261	
	7.1.4 Eye Diagrams 261	
	7.1.5 Trellis Diagrams 262	
	Line Coding or Signalling	263
7.3	Constant Envelope Modulation	265
	7.3.1 Binary Phase Shift Keying 26	
	7.3.2 Quadrature Phase Shift Keying	
	7.3.3 M-ary Phase Shift Keying 26	7
	7.3.4 Frequency Shift Keying 267	
	7.3.5 Minimum Shift Keying 268	
	7.3.6 Gaussian Minimum Shift	
	Keying 269	
7.4	Variable Envelope Modulation	
	Schemes C	271
	7.4.1 Amplitude Shift Keying 271	
	7.4.2 Quadrature Amplitude Modulat	
	and M-ary Quadrature Amplitu	de
	Modulation 272	
7.5	Differential Modulation Schemes	273
7.6	Offset Modulation Schemes	274
7.7	Modulation Schemes and Spectrum	
	Efficiency	275
7.8	Transmission Power	276
7.9	Spread Spectrum Modulation	277
	Pseudo-noise Codes, Properties,	
	and Code Generation	278
	7.10.1 Autocorrelation 279	
	7.10.2 Partial Autocorrelation 280	
	7.10.3 Cross-correlation 280	
	7.10.4 Properties of Pseudo-noise	
	Codes 280	
	7.10.5 Aperiodic and Periodic	
	Sequences 281	
	*	282
		284
	7.10.8 Gold Sequences 285	
7.11	Direct Sequence Spread Spectrum	
	System	285
	7.11.1 Transmitter and Receiver 286	-
	7.11.2 Spectral Density, Bandwidth,	
	and Processing Gain 288	
	7.11.3 Rake Receiver 290	
	7.11.4 System Performance 292	
7.12	Frequency Hopping Spread	
	Spectrum—Transmitter and	
	Receiver	296
7.13	Time Hopping Spread Spectrum	300
	Hybrid Spread Spectrum Systems	302
	J I I I I I I I I I I I I I I I I I I I	

	7.15	Multic	arrier Modulation		
		Techni	ques	303	
		7.15.1	Basic Principles of		
			Orthogonality 303		
		7.15.2	Subcarrier Setting in		
			Spectrum 304		
		7.15.3	Frequency Division Multiplexin	ng	
			Versus Orthogonal Frequency		
			Division Multiplexing 307		
	7.16	Orthog	gonal Frequency Division		
		Multip	blexing Transmitter and		
		Receiv	ver	<sup>309</sup> 9.	1
		7.16.1	Serial-to-parallel Conversion a	ind <b>5</b> .	Ì
			Symbol Mapping 311		
		7.16.2	Modulation of Data 312		
		7.16.3	Guard Period 313		
		7.16.4	Radio Frequency		
			Upconversion 315		
		7.16.5	Radio Frequency Downconvers	sion	
			and Orthogonal Frequency		
			Division Multiplexing		
			Demodulation 316		
8.	Zero	Intersv	mbol Interference, Diversity,		
		-	and Equalization	324	
			ntersymbol Interference		
			unication Techniques	324	

Communication Techniques	324
8.1.1 Nyquist Criteria for Zero	
Intersymbol Interference 32	5
8.1.2 Filtering (Pulse Shaping) 3.	26
8.1.3 Windowing Techniques 330	
8.2 Detection Strategies	332
8.3 Matched Filter	333
8.4 Diversity Techniques	334
8.5 Diversity Combining Techniques	337
8.5.1 Selection Combining 337	
8.5.2 Threshold Combining 337	
8.5.3 Equal Gain Combining 338	
8.5.4 Maximum Ratio Combining	338
8.6 Introduction to Multiple Input,	
Multiple Output Systems	338
8.6.1 Spatial Diversity in MIMO	339
8.6.2 Spatial Multiplexing in	
<i>MIMO 342</i>	
8.6.3 Channel Modelling 342	
8.7 Channel Estimation Techniques	345

8.8	Equalization Techniques	347
	8.8.1 Transversal Filters 348	
	8.8.2 Adaptive Equalizers 350	
	8.8.3 Decision-directed Feedback	
	Equalizer 350	
8.9	Least Squares and Least Mean	
	Squares Algorithms	351
	8.9.1 Least Squares Algorithms 351	
	8.9.2 Least Mean Squares	
	Algorithms 352	
Multi	plexing and Multi-user Access	357
9.1	Multiplexing and Multiple	
	Access	357
	9.1.1 Multiplexing Schemes 357	
	9.1.2 Multiple Access Schemes 359	
9.2	Frequency Division Multiple	
	Access	361
9.3	Time Division Multiple Access	362
9.4	Spread Spectrum Multiple Access	364
(  )	9.4.1 Code Division Multiple	
	Access 364	
	9.4.2 Frequency Hopped Multiple	
	Access 366	
	Space Division Multiple Access	367
9.6	Orthogonal Frequency Division	
	Multiple Access	368
	9.6.1 Comparison of Different	
	Multiple Access Techniques 36	9
9.7	Hybrid Methods of Multiple	
	Access	370
9.8	Multiple Access for Packet Radio	
	Systems	370
	9.8.1 Pure ALOHA 371	
	9.8.2 Slotted ALOHA 372	
	9.8.3 Carrier Sense Multiple	
	Access 373	
	9.8.4 Versions of Carrier and Inhibit	
	Sense Multiple Access 374	
	9.8.5 Throughput of Random Access	
0.0	Schemes 376	
9.9	Reservation-Based Multiple Access	277
	Schemes	377
	9.9.1 Packet Reservation Multiple	
	Access 377	
	9.9.2 Polling and Token Passing 377	

### PART 4: WIRELESS NETWORKS

10.	Netw	orking Fundamentals	383
	10.1	Wireless Networks	383
	10.2	Open Systems Interconnection	
		Reference Model	384
	10.3	Transmission Control Protocol/	
		Internet Protocol Stack	386
	10.4	Peer-to-Peer Communication	386
	10.5	Transmission Control Protocol/	
		Internet Protocol Headers	388
		Medium Access Control	391
	10.7	Routing Algorithms	392
		10.7.1 Destination-sequenced Distan Vector Routing 393	се
		10.7.2 Wireless Routing Protocol 3.	94
		10.7.3 Dynamic Source Routing 39	6
		10.7.4 Ad hoc on Demand Vector 3	98
	10.8	Transport Control Mechanisms	400
		10.8.1 Stop and Wait Protocol 400	
		10.8.2 Sliding Window Protocol 40	1
		Security Aspects	403
		Application Layer	405
	10.11	Mobile Computing	406
11.	Cellu	lar Networks	411
	11.1	Global System for Mobile	
		Telecommunication	411
		11.1.1 GSM Architecture 413	
		11.1.2 Call Handling in GSM 417	
		11.1.3 GSM Radio Interface 419	
		11.1.4 Multiple Access in GSM 421	
		11.1.5 GSM Channels 422	
		11.1.6 GSM Enhancements and	
		HSCSD 425	
	11.2	General Packet Radio Service	426
			27
		0	29
		11.2.3 GPRS Layers and Functions	429
		11.2.4 GPRS Channels 430	
		11.2.5 GPRS Device Categories and Modes 432	
	11 2		122
		Edge Technology CDMA-Based Standards: IS-95 to	433
	11.4	CLEWIA-DASCU STANDALOS, 13-93-10	
		CDMA2000	434

		3	881
		11.4.1 IS-95 System 435	
		11.4.2 Soft Handover in IS-95 438	
	11.5	Wireless Local Loop	439
		11.5.1 Digital Enhanced Cordless	
		Telecommunication 443	
		11.5.2 CorDECT WLL 446	
	11.6	IMT-2000 and UMTS	449
		11.6.1 UMTS or WCDMA	
		Architecture 449	
		11.6.2 Elements of UMTS or	
		WCDMA 451	
		11.6.3 UMTS or WCDMA Radio or	
		Air Interface 454	
		11.6.4 UMTS TDD and FDD 457	
		11.6.5 UMTS Channels 457	
		11.6.6 Packet Handling, Power Savin	g,
		and Handover in UMTS or	
		WCDMA 467	
		II.6.7 High-speed Uplink Packet Access 468	
		11.6.8 High-speed Downlink Packet	
		Access 468	
	117	Long-Term Evolution	469
	11.7	11.7.1 LTE Architecture 469	10)
		11.7.2 Elements of LTE—EPS 471	
		11.7.3 LTE Radio or Air Interface	473
		11.7.4 LTE Channels 474	
	11.8	Mobile Satellite Communication	476
12.	Ad ho	oc Networks	482
	12.1	Introduction	482
	12.2	Bluetooth	483
		12.2.1 Bluetooth Network Structure	484
		12.2.2 Bluetooth Protocol Stack 48	6
		12.2.3 Bluetooth Physical Layer 48	7
		12.2.4 Bluetooth MAC Layer 489	
		12.2.5 Modified Version of	
		Bluetooth 490	
	12.3	Wi-fi Standards	492
		12.3.1 Wi-fi Architecture 493	
		12.3.2 Wi-fi Physical Layer 494	
		12.3.3 Wi-fi MAC Layer 498	
		12.3.4 Wi-fi Security Aspects 502	
		12.3.5 Wi-fi Applications 504	

12.4 WiMAX Standards50412.4.5 Quality of Service and Scheduling12.4.1 WiMAX Architecture505Aspects in WiMAX12.4.2 WiMAX Physical Layer50712.5 Wireless Sensor Networks51612.4.3 WiMAX MAC Layer51212.6 Ultra-wideband51912.4.4 WiMAX Security Aspects513513

Appendix A: Linear Systems Theory 525 Appendix B: Algebra for the Linear System 528 Appendix C: Probability Theory 530 Appendix D: DSP Fundamentals Applied to OFDM Processing 537 oxforduniversity Appendix E: Satellite Communication Aspects 539 Appendix F: Erlang and Poisson Traffic Tables 543 Answers to Multiple-choice Questions 552 Index 554 About the Author 563



# Fundamentals and Present Scenario

#### Theme of the Chapter

This book mainly deals with the concepts of wireless digital communication. Though it is assumed that readers of the book are familiar with the basic theory of communication, many required concepts are revised as a ready reference. Today's students must be familiar with wireless communication systems—both conventional and latest. This chapter begins with a brief revision of the basics of communication and moves on to discuss wireless systems. It explains a complete digital wireless link with all the necessary blocks that form the basis for wireless systems. The chapter also discusses transmission rate, channel capacity, bandwidth, and signal-to-noise ratio parameters deciding the performance of this link along with the types of signals useful for communication theory. It further explores the need for and scope of the best developments in wireless communications, which is possible only if the standards used today for wireless systems are known. Evolution of a system is linked with the previous systems, and the new system is designed by analysing the problems of the previous systems and eliminating them. Hence, it is also necessary to know the development scenario of the first to fourth generation systems. Once this background is provided and students start studying these from the root level of the wireless link, considering each and every stage of the wireless link, every part of the theory and its application to the system can be correlated and the best solutions can be identified for the *anywhere, anytime* communication scenario.

#### **Key Topics**

- Fundamental terms of communication
- Wireless communication link model
- Bandwidth and signal-to-noise ratio
- Types of signals

- Types of communication systems
- Wired versus wireless communication
- Types of wireless systems
- Existing technologies and requirements
- Evolution of wireless systems
- First- to fourth-generation wireless systems
- Licensed and unlicensed band communication
- Spectrum policies

### **1.1 FUNDAMENTAL TERMS OF COMMUNICATION**

Wireless communication is a diverse field and its study requires a basic knowledge of many other fields. The overall model of the learning system for wireless communication is shown in Fig. 1.1.

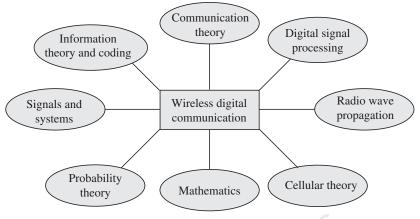


Fig. 1.1 Interdisciplinary learning model for wireless communication

Readers may be aware about many of these fields and may even be familiar with the basic theory of communications. However, in this chapter, we will brush up on all the fundamentals before we get into the details of wireless communication. This section will recapitulate the most frequently used terms in this subject.

**Information** Communication systems convey messages that originate from information sources. The information may be analog or digital, and accordingly, the communication system can be classified as an analog or a digital system. The sine wave is the fundamental analog information signal. A pure sine wave can be represented by three parameters—peak amplitude ( $A_0$ ), frequency (f), and phase ( $\theta$ )—in the form

 $s(t) = A_0 \sin(\omega t + \theta)$ 

where  $\omega = 2\pi f$ , the angular frequency. The analog information may be voice or video (or real-time signals).

Information is to be transmitted by a sender and is to be received and interpreted by a receiver. If the information is in analog form, a conversion is required to process and transmit it into digital form. The smallest unit representing the digital form is a bit, which is a pulse. Digital information may be converted into words, groups of words (frames), code symbols, or any other prearranged units of bits. When no interpretation is applied, these units are called *data*, which may be a raw bit stream. When they are received and interpreted at the other end, they become *information*, which is conveyed.

For binary digital systems, the data or information transmission rate is measured in bits per second. If additional bits are added (for special purpose) to the required data, the effi-

Antenna size and carrier follow inverse relationship; hence, for portable sizes of antenna, very high frequencies are used in wireless systems. ciency of information transmission reduces. It must be understood that no real information is conveyed by a redundant message, but redundancy is not wasteful under all conditions, especially where error handling is concerned (which will be discussed shortly). In short, a set of information or data with respect to time is the time domain *input signal* for a system, whose frequency contents can be observed in the frequency domain by observing the spectrum. Information theory and the mathematical aspects of measurement of information are discussed in Chapter 5.

The transmitter and receiver systems are connected through a channel. These systems process the input signal in various ways to ensure proper communication. One of the important processes is *modulation*, with which the term *carrier* is associated.

**Modulation** This is the process by which a signal is transformed into waveforms that are compatible with the characteristics of the channel. Modulation may be of two types: analog and digital. In analog modulation, analog signal is modulated by a carrier while digital modulation is the process by which pulses are modulated into the required digital form or modulated by a carrier. These modulated waveforms usually take the form of shaped pulses [ideally the shape of a sinc function, which is sin(x)/x), in the frequency domain]. However, in the case of *digital band-pass modulation*, the shaped pulses modulate a sinusoid called a carrier wave, or simply a carrier. For radio transmission, the carrier is converted into an electromagnetic (EM) field through an antenna for propagation to the desired destination.

**Carrier** The transmission of EM fields through space is accomplished using antennas. The size of the antenna depends upon the wavelength  $\lambda$  and the application. The antennas used for cellular telephones are typically small. Wavelength and frequency are related as  $c = f\lambda$ , where c is the speed of the EM wave in free space. Thus, antenna dimensions indirectly decide the frequency an antenna can transmit. A very large antenna would be required for sending a baseband signal of a very low frequency. To transmit a 3 kHz signal or voice signal through space, without carrier wave modulation, an antenna that spans 15 miles would be required. If the baseband information is first modulated on a high-frequency carrier (e.g., 900 MHz), then it would require an antenna with a diameter of only about 8 cm. Hence, for all portable applications, radio frequency (RF) conversion is necessary.

Another advantage of modulation with a carrier is the multi-user environment. If more than one signal or user utilizes a single channel, modulation with different carriers or the same carrier may be used to separate the different signals (these techniques are explained in Chapter 9). The reception will be based on the tuning of the carriers. Systematic allocation of frequency bands is possible due to the fixed bandwidth and dedicated allocation of carriers. Some modulations can be used to minimize the effects of interference. Such modulation schemes require a transmission bandwidth that is much greater than the minimum bandwidth that would be required by the message (wideband communication). Bandwidth concepts are discussed in Section 1.3.

**Transmitter** A transmitter performs various functions to make a source signal suitable for transmission. Examples of such functions are converting a non-electrical form of signal into an electrical signal, restricting the range of frequencies, compressing the amplitude ranges, and modulating the signal as per requirements. Not much processing is required in *baseband communication* or *carrierless communication*, such as the local loop wire telephony, as the mouthpiece of the handset gives analog electrical signals that can be directly transmitted for short distances on the wired lines. However, in long-distance communication, a transmitter is required to process, possibly

In wireless communication, the final form of transmission is always analog, irrespective of whether the modulation is analog or digital. encode, and to modulate the incoming information to make it suitable for transmission over the desired channel and subsequent reception. This is known as *broadband communication*. Eventually, in this type of transmitter, the information modulates the carrier, that is, the information is systematically superimposed on a comparatively high-frequency sine wave. RF upconversion may be followed by the modulator stage, especially for a wireless link, and then the power amplifier stage completes the transmitter part. The signal becomes ready for transmission through an antenna.

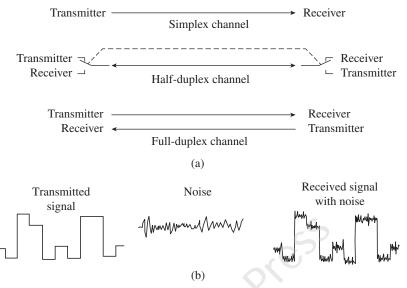


Fig. 1.2 (a) Channel types (b) Addition of noise to signal

**Channel** It should be noted that the term *channel* is often used to refer to the frequency range allocated to a particular service for transmission, such as a television channel (the allowable carrier bandwidth with modulation); however, in general, a channel is a medium through which a signal propagates towards its receiver. As shown in Fig. 1.2(a), channels may be of three types: *simplex, half duplex*, and *full duplex*. Simplex channel implies one way communication, half duplex implies bi-directions communication on a sharing basis one at a time, while full duplex channel implies simultaneous bi-directional communication. *Noise* and *interference* are the most serious problems associated with a channel. It is inevitable that a signal will deteriorate during the processes of transmission, propagation, and reception because of some distortion in the system or because of the introduction of noise. Noise is unwanted energy (usually of random nature) present in a transmission system due to a variety of causes. Since noise will be received together with the signal, as shown in Fig. 1.2(b), it places a limitation on the transmission system as a whole. When noise is severe, it may mask a given signal so much that the signal becomes unintelligible and therefore useless.

Though noise may interfere with a signal at any point in the communication system, its effect will be maximum when the signal is weak. Hence, the most noticeable noise is that in the channel or at the input to the receiver. Correspondingly, when the signal is strong, the noise effects are less. This is defined using the parameter *signal power to noise power ratio* or *signal-to-noise ratio* (SNR). Better the SNR, stronger the signal in the presence of noise. The different types of noise are discussed in Chapter 3.

**Receiver** There are many varieties of receivers in communication systems since the exact form of a particular receiver is influenced by the opposite tasks to that of the transmitter and many other requirements. Among these requirements are the modulation scheme used, the operating frequency and its range, error-handling tasks, and the type of output device required, which in turn depends on the destination of the intelligence received. Most of the wireless receivers are of superheterodyne type with the intermediate frequency (IF) stage and then the local oscillator and mixer stage for final RF upconversion. Receivers vary in

complexity from a very simple crystal receiver, with headphones, to a far more complex *rake receiver*, explained in the chapter 7 of modulation techniques.

As already stated, the purpose of a receiver and the form of its output influence its construction. The output of a receiver may be fed to a loudspeaker, video display unit, radar display, television picture tube, pen recorder, or computer. In each instance, different arrangements must be made, each affecting the receiver design.

#### **1.2 GENERAL MODEL FOR WIRELESS DIGITAL COMMUNICATION LINK**

A study of wireless digital communication involves the in-depth study of the whole point-to-point link, covering the fundamentals of each block of the link. This section provides an introduction to the blocks and their importance. The blocks will be explained in detail in subsequent chapters.

Figure 1.3 provides a simplified block diagram of a digital communications link. A transmitter begins and ends with an analog signal (except the readily stored or generated digital base). The signal that comes out as multimedia information is analog in nature, which should be first converted into the digital form. Initially, wireless communication was used only for voice communication, but now any signal can be communicated. In the case of video communication, a huge storage capacity and high speed of communication are required, and hence, source encoding for compression of the database is necessary. Here, standard methods may be used to compress the data, and the stored files with standard extensions, such as .jpg, .avi, .mp3, .gif, .tif, and .dat, can be made available for transmission. The basic communication model, as shown in Fig. 1.3, is a *systematic assemblage* of the *forward path* and the *reverse path*.

In general, channel coding aspects need more attention in wireless communication, whereas line coding is important in wired communication. **Source coding/decoding stage** The first step is to convert a continuous analog signal into a discrete or digital bit stream. This process is called *digitization*. The next step is to add information coding for data compression. The information to be transmitted from the source may be human-originated (speech) or machine-originated (data or image). The source encoder with compression eliminates the *inherent redundancy* in the information (thus compressing) to maximize the transmission rate, and the encrypter ensures secrecy of data. The encryption process is described in Chapter 5 of the source coding stage.

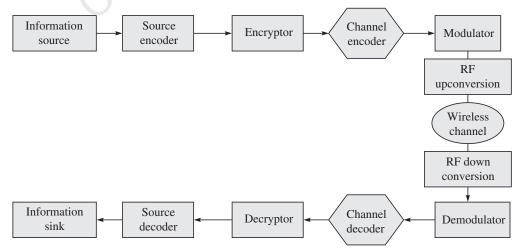


Fig. 1.3 Basic model of wireless digital communication link

**Channel coding/decoding stage** Data must be protected against perturbations introduced by the noisy channel, which could lead to misinterpretation of the transmitted message at the receiving end. Line coding techniques are used for inserting systematic amplitude variations, power levels, and synchronization points, whereas channel coding techniques are used to insert structured sequences. Both techniques help in combating channel errors. Data can be protected through the following error control strategies:

*Forward error correction* It uses error-correcting codes that are derived mathematically and inserted systematically at the transmitting end, and are able to correct errors at the receiving end.

*Automatic repeat request* It uses error-detecting codes with no capabilities of correcting them, (except single bit error correction techniques) but with strategies of retransmissions (sending the same data again) when erroneous data is received.

The channel coding stage systematically adds extra bits to the input data stream, even after the removal of the redundant bits by the source coders to balance the reliable transmission capabilities of the channel. Though sending the extra bits involves extra cost in terms of bandwidth utilization and speed, these bits are used for error correction to enhance the quality of reception. The channel decoder decodes the data in such a way that the effects of noise and interference in the communication channel are minimized. These techniques are discussed in depth in Chapter 6.

**Modulator/Demodulator stage** The modulation method to be used should be selected based on the channel characteristics. Channel-related issues and the corrections for channel effects are discussed in Chapters 3 and 8. Corrections should take place before demodulation to reduce the probability of errors. The output from the channel coder is fed into the modulator. Since the modulator deals with complex modulation techniques, there are independent I (in-phase) and Q (quadrature-phase) components in the radio; half of the information can be sent on I and the other half on Q. This is one reason why digital radios work well with this type of digital signals. The I and Q components are separate and orthogonal. The modulator block generates a signal suitable for the transmission channel. The blocks in the reverse path do the opposite of those in the forward path. Modulation techniques are basically divided into three types: *pulse modulation, carrier modulation*, and *spread spectrum techniques*. The latest modulation technique based on multicarrier transmission, which eliminates most of the problems of wireless channel, is orthogonal frequency division multiplexing (OFDM). An overview of all the modulation techniques is given in Chapter 7.

**Intermediate frequency/radio frequency stage** After the modulator, the rest of the transmitter looks similar to a typical RF or microwave transmitter. The signal is converted up to an IF and then further upconverted to a higher RF. Any undesirable signal produced by the upconversion is then filtered out. Depending upon the requirements, the power amplifier is selected for amplifying the power to cover the required transmission distance. The receiver RF section provides efficient coupling between the antenna and the rest of the hardware,

Symbols are the group of bits processed together at the modulation stage and they represent specific amplitude and phase as per the bit pattern. which utilizes the energy abstracted from the radio wave. It also provides discrimination or selectivity against image and IF signals. Major receivers follow the superheterodyne technique at the RF stage.

#### Additional Comments—Transmitter

Sometimes, training sequences need to be sent for estimation or equalization. This can make synchronization (or finding the symbol clock) easier for the receiver. Symbols are processed in synchronism. The symbol clock is an essential part of the link and represents the frequency and exact timing of the transmission of the individual symbols. At the symbol clock transitions, the transmitted carrier is at the correct I/Q (or magnitude/phase) value to represent a specific symbol. Then the values (I/Q) of the transmitted carrier are changed to represent another symbol. The interval between these two is the symbol clock period. The reciprocal of this is the symbol clock frequency. The symbol phase is correct when the symbol clock is aligned with the optimum instant(s) to detect the symbols. One essential step after channel coding in the transmitter is filtering, which is required for good bandwidth efficiency. Without filtering, signals would have very fast transitions between states and therefore, very wide frequency spectra—much wider than is needed for the purpose of sending information. A single filter can be shown for simplicity in the block diagram, but in reality, there are two filters, one each for the *I* and *Q* channels. This creates a compact and spectrally efficient signal that can be placed on a carrier. Many times, pulse shaping and windowing techniques of digital signal processing (DSP) make the communication efficient.

#### Additional Comments—Receiver

The desired receiver characteristics or issues are as follows:

*Sensitivity* This is expressed in terms of the voltage that must be applied to the receiver input to give a standard output.

*Selectivity* This characteristic determines the extent to which the receiver is capable of distinguishing between the desired signal and the signal of other frequencies.

*Fidelity* This represents the variation of the output with the modulation frequency, when the output load impedance is a resistance. At the lower modulation frequencies, it is determined by the low-frequency characteristics of the audio frequency amplifier. At the higher modulation frequencies, the fidelity is affected by the high-frequency characteristics of the audio frequency amplifier.

*Noise figure* This is a measure of the extent to which the noise appearing in the receiver output in the absence of a signal is greater than the noise that would be present, if the receiver was a perfect one from the point of view of generating the minimum possible noise. It determines the smallest power that may be received without being drowned out by the noise.

Learning about the *wireless medium* is essential to understand the reasoning behind the specific designs for wireless communication protocols or systems. In particular, the design of the physical and medium access protocols is highly affected by the behaviour of the channel that varies substantially in different indoor and outdoor areas. The diversity and complexity of transmission techniques in wireless communications are far more complex than those of wired communications.

The incoming RF signal is first downconverted to IF and demodulated. The ability to demodulate the signal is hampered by factors including atmospheric noise, competing sig-

nals, and signal strength variations. The concept of demodulation is explained in Chapter 7. Generally, demodulation involves the following stages:

- Carrier frequency recovery (carrier lock)
- Symbol clock recovery (symbol lock)
- Signal decomposition to *I* and *Q* components
- Determination of *I* and *Q* values for each symbol (slicing)
  - Decoding and de-interleaving

Automatic gain control and power control are the important aspects of transceivers and require closed-loop systems.

- Expansion to original bit stream
- Digital-to-analog conversion, if required

Carrier and symbol clock recovery is a complex issue in the receiver. Both the symbol clock frequency and phase (or timing) must be correct in the receiver to successfully demodulate the bits and recover the transmitted information. Offset in frequency or phase will lead to unsuccessful demodulation. Usually, the frequency of a symbol clock is fixed, and both the transmitter and receiver accurately know this frequency. The difficulty is to get them aligned in phase or timing. A variety of techniques is available and most systems employ two or more such techniques. If the signal amplitude varies during modulation, a receiver can measure the variations. The transmitter can send a specific synchronization signal or a predetermined bit sequence such as 10101010101010 to train the receiver's clock. In systems with a pulsed carrier, the symbol clock can be aligned with the power turn-on of the carrier. In the transmitter, it is known where the RF carrier and digital data clock are because they are being generated inside the transmitter itself, whereas in the receiver, this is not known. The receiver can approximate where the carrier is, but has no information about the symbol clock phase or timing. Creating the carrier and symbol clock recovery algorithms is a difficult task in receiver design. This task can be made easier by the channel coding performed in the transmitter.

Mobile telephony, mobile internet services, and wireless local area networks (WLANs) are a few applications that are based on protocol. The lowermost layer of the protocol stack is the physical layer, which is the wireless link along with the standard specifications. These are explained in part 4 of the book (Chapters 10, 11 and 12).

Some of the useful signal processing aspects observed in the wireless link are Fourier series and Fourier transforms of the various functions (observing the signal in the time and frequency domains), sampling theorem, filters, correlation, convolution, and windowing. Various properties of Fourier transforms are applied at various stages, and these fundamentals can be revised by self-study.

#### 1.3 BANDWIDTH

A signal may have one or more frequency content, which can be represented in the frequency domain. Information, which may be in the form of analog or digital signals, can be represented in the time domain (amplitude versus time plot) and the frequency domain (amplitude versus frequency plot, also called the spectrum). A digital signal is the representation of a signal with discrete values at discrete time. It is produced by the sampling of a continuous envelope of information and will carry discrete, well-defined amplitude levels. Binary coded data is one typical case of a digital system; it takes only two values of amplitude levels, one each for logic 0 and logic 1. It will carry the amplitudes decided for logic 0 and 1. When an analog or a digital time domain signal is converted into a frequency domain signal, the significant frequency components of the spectrum decide the bandwidth. Practically, the signal is band-limited by applying certain techniques to meet certain requirements.

There is no universally satisfying definition for the term *bandwidth*, which is used in the following circumstances:

- It is used to characterize a signal, which can be the input signal or the baseband or broadband to be transmitted. Correspondingly, this is called the signal or transmission bandwidth.
- A channel allocated to the user to allow the transmission of maximum frequency content (allowable range of frequencies) is called channel bandwidth. It decides the capacity of the transmission. Channel bandwidth may be decided by the service provider.

(1.1)

Note: It is observed that an analog signal consumes less spectrum compared to its digital counterpart and hence requires lesser bandwidth, because the digital counterpart is the result of sharp transitions.

• While designing wireless system hardware, including transmitter and receiver, the frequency response of the hardware stages must be such that the total system bandwidth supports the channel bandwidth (or the hardware frequency response must be set accordingly).

**Bit rate, symbol rate, and baud rate** Digital data transfer is measured in bits per second, as mentioned earlier, or in symbols per second units. When the number of bits is represented together at the modulator front end, the bit rate is converted into the symbol rate. To understand and compare the efficiencies of different modulation schemes, it is important to first understand the difference between the bit and symbol rates. The transmission bandwidth due to digital modulation techniques depends on the symbol rate, and not on the bit rate (refer to digital modulation schemes discussed in Chapter 7). The bit rate is the frequency of a system bit stream.

Symbol rate = 
$$\frac{\text{Bit rate}}{\text{Number of bits transmitted with each symbol}}$$

Each symbol represents M finite states and k bits of information, where

$$k = \log_2 M$$

The symbol rate is measured in symbols per second.

The baud rate refers to the signalling rate at which the data is sent through a channel and

The spectrum of a signal is the collective representation of all its frequency components along with their amplitude weights.

is measured in electrical transitions per second. It is the reciprocal of the duration of the shortest signalling element. If there is one signal transition per bit, then the bit rate and the baud rate are identical. If two electrical transitions are required for each bit, as in the case of return-to-zero (RZ), then at a rate of 9600 baud, only 4800 bits per second can be conveyed (refer to Section 7.2 of Chapter 7 for further discussion on signalling). The baud rate decides the bandwidth as it decides the highest frequency occurred.

<b>Example 1.1</b> Let the symbols be represented by 4, 8,	for modulation. For 8 modulo values, 3 bits/symbol are
and 16 modulo values at the front end of a modulator.	taken in, and for 16 modulo values, 4 bits/symbol are
What will be the symbol rates in all the cases if the bit	taken in. Hence,
stream is of 256 Mbps?	for case 1, Symbol rate = $256 \text{ Mbps}/2 = 128 \text{ Mbps}$
Solution A modulator modulates symbols rather than bits. For 4 modulo values, 2 bits/symbol are taken in	for case II, Symbol rate = 256 Mbps/3 = 85.33 Mbps for case III, Symbol rate = 256 Mbps/4 = 64 Mbps

**Bandwidth of signal and system** A system can be as simple as a low-pass filter or an amplifier or as complicated as an entire satellite communication link. Bandwidth, when referring to a system or a device, usually means the ability to pass, amplify, or somehow process a band of frequencies. However, bandwidth of significant energy for a signal can be subjective. For example, the speech signal bandwidth of maximum energy could be specified as the range between 100 Hz and 6000 Hz, whereas the bandwidth of significant energy for Note: The line coded signal decides the transmission channel bandwidth in the case of baseband communication, whereas the modulated signal decides the bandwidth in the case of broadband communication.

telephone quality speech could be specified as between 100 Hz and 3000 Hz. The bandwidth for a system is usually defined between the 3 dB points (at higher and lower cut-off frequencies) assuming 0 dB point as the maximum gain, when the system gain is plotted against the range of frequencies.

Pulse degradations are dependent upon the rate of transmission, channel bandwidth, SNR condition, and channel delay. In strict technical terms, there is no need to differentiate between analog and digital signals, because we just need to look at the spectral content of each signal, the extent of which determines the bandwidth. Typical analog signals, because of their smooth variations, usually have a finite bandwidth, whereas digital signals, due to their discrete nature, usually have unlimited bandwidth. However, it is useful to specify a finite bandwidth for digital signals. To find the most appropriate bandwidth for a digital signal, it is necessary to know the range of frequencies that contains the significant energy of the signal.

We can now make a simple but important observation. When the available bandwidth of a transmission system (medium) is equal to or larger than the bandwidth of a signal that is to be transmitted over the system, and also the actual transmitted signal frequency contents at an instant of time is less than the maximum frequency allowed by the medium, that is,

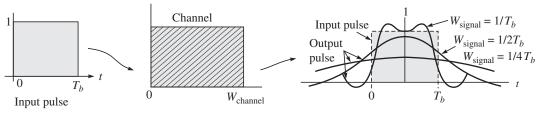
$$W_{\text{signal}} \le W_{\text{channel}}$$
 (1.2)

then, the entire information content of the signal can be recovered at the receiving end. Conversely, when the transmission system bandwidth is less than the signal bandwidth, some degradation of the signals always occurs because of the loss of frequency components due to its lack of capacity to transfer those frequencies.

**Pulse transmission over channel** Let us consider digital signals and the bandwidth requirements for pulse transmission. We have to distinguish between the case of an exact reproduction at the receiving end of a transmitted square pulse (which represents a binary digit 1) and a distorted reproduction. An exact reproduction would require a transmission channel with ideally infinite bandwidth, as an ideal square pulse has infinite bandwidth due to extremely high frequency content to retain its sharpness. However, if we only need to detect that a pulse has been sent, we can get by with a finite channel bandwidth. For example, if we were to calculate the effect of an ideal low-pass filter on a square pulse, we would find the output to be a distorted pulse that resembles the original pulse better and better with increasing bandwidth W of the filter. The channel acts as a low-pass filter. Hence, higher harmonic losses are certain. In addition, attenuation also occurs. Ideally, the bandwidth of a binary digital signal with the baud rate same as the bit rate will always be half of that of its bit rate. This is because the consecutive 1 and 0 bits will establish the worst-case condition for transitions, which will decide the highest frequency content, making one cycle of frequency and bandwidths being represented in terms of frequencies normally.

The variation in the bit rate of a channel with fixed bandwidth  $W_{\text{channel}}$  generates different situations, as shown in Fig. 1.4, because a change in the bit rate will vary the signal bandwidth. Here, the bit rate and signal bandwidth are related mathematically as

$$W_{\text{signal}} = 1/2T_b \tag{1.3}$$



Wireless channel acts as low-pass filter as it spreads the pulse

Fig. 1.4 Response of an ideal pulse transmitted through a channel according to the bit rate over a fixed channel bandwidth

SNR-bandwidth tradeoff: Transmission rate increases at the cost of reduced SNR due to the noise distributed over the wide bandwidth and vice versa. where  $T_b$  is the 1-bit interval (time duration). For many purposes, this bandwidth yields a resolution with an acceptable error rate.

Since a wireless transmission channel with multipath effects has band-pass characteristics similar to that of a low-pass filter, a pulse propagating over the channel will be affected by the spreading of the pulse. The reasons are explained in chapter 3. As the bit interval becomes narrower, more errors are likely to occur. However, an advantage of digital transmission is that the message is preserved. In analog transmission, the signal becomes irreversibly distorted due to the addition of noise.

In contrast, in digital transmission, even though the individual pulses become badly distorted during propagation, as long as the distorted signal that is received can be identified with the presence or absence of a pulse, the original message is preserved. There are some techniques for regenerating the digital signal with the help of a pre-decided threshold level.

Signal-to-noise ratio and channel bandwidth The amount of information that a channel can carry reliably depends on the bandwidth of the channel and the magnitude of the noise present in the channel. The amount of noise present in any channel limits the number of distinct amplitude levels that a signal propagating may have. For example, if a varying analog signal has a maximum level of 10 V and the noise level is 5 V, the signal may have only two levels. On the other hand, if the noise level is only 1 mV, the same signal can be divided into approximately  $10 \text{ V/1 mV} = 10^4$  levels. Figure 1.2(b) illustrates how noise that has been added during transmission can degrade the signal and hence, its resolution at the receiving ends.

The SNR is the standard measure of the noise level in a system. It can be measured at different stages in the wireless link. It is the ratio of power  $P_s$  to noise power  $P_n$ . Since power is proportional to voltage squared, we can express SNR as

$$SNR = \frac{P_s}{P_n} = \left(\frac{V_s}{V_n}\right)^2 \tag{1.4}$$

where  $V_s$  is the signal voltage and  $V_n$  is the noise voltage (because of their multitude of random amplitudes, noise voltages are typically given as rms voltages). SNR is usually expressed in decibels (dB).

$$SNR_{dB} = 10\log_{10}SNR = 20\log_{10}\left(\frac{V_s}{V_n}\right)$$
(1.5)

Signal power plays a very important role in successful communication. On increasing the signal power, the effect of channel noise reduces and the signal is received more accurately. A larger SNR allows for a longer distance of transmission. An important feature of signal power is that the SNR and bandwidth are exchangeable. Higher the bandwidth, more will be

the noise power distributed, which reduces the SNR. This means that to maintain the given data rate and accuracy of the information transmission, we have to trade the SNR for bandwidth. One may reduce the bandwidth if the SNR is to be increased.

It can be shown that the relationship between the bandwidth expansion factor and the SNR is exponential. Consider the SNR–bandwidth trade-off. SNR1 is a value with a particular rate of transmission with bandwidth  $W_1$ . SNR2 is another value with a different rate and bandwidth  $W_2$ . Then, for the same channel capacity, it can be derived that

$$SNR2 \approx SNR1^{W_1/W_2} \tag{1.6a}$$

Thus, if  $W_2 = 2W_1$ , then  $SNR2 \approx SNR1^{1/2}$ 

That is, *SNR*2 is the square root of *SNR*1.

**Example 1.2** Compare the SNR requirements for 1 bit/symbol and 2 bits/symbol transmission systems that have a bit rate of 1 Mbps.

Solution The visualization of this example will be better if we treat one symbol block as one pulse, because the symbol rate decides the transmission bandwidth.

Considering first nulls in the sync shaped frequency domain response of a pulse:

For 1 bit/symbol transmission bandwidth  $W_1$ , baud rate = 1 Mbps (because it takes in 1 bit per symbol).

For 2 bit/symbol transmission bandwidth  $W_2$ , baud rate = 0.5 Mbps. Hence,  $W_1/W_2 = 2$ .

(1.6b)

Now, 
$$SNR2 \approx SNR1^{W_1/W_2} \Rightarrow SNR2 \approx SNR1^2$$

Thus, theoretically, the second scheme requires a higher value of SNR compared to the first scheme for the same bit rate to be transmitted.

Note for 1 bit/symbol transmissions: We know that for square signals, the spectrum contains odd harmonics of the fundamental, which here equals  $1/2T_b$ . Thus, the signal's bandwidth is infinite. In practical terms, we use 90 per cent power bandwidth to assess the effective range of frequencies consumed by the signal. The first and third harmonics contain that fraction of the total power, meaning that the effective bandwidth of our baseband signal is  $3/2T_b$  or, expressing this quantity in terms of the data rate,  $3R_b/2$ . Thus, a digital communications signal requires more bandwidth than the data rate: a 1 Mbps baseband system requires a bandwidth of at least 1.5 MHz. However, bandwidth also depends upon the adopted line coding scheme.

**Shannon's and Nyquist's equations** Channel capacity is decided by the transmission bandwidth and SNR condition, and the relationship is given by Shannon and Nyquist from their independent research. In 1948, Dr Claude Shannon of Bell Telephone Laboratories published a groundbreaking work entitled *The Mathematical Theory of Communication*, in which he

Channel capacity is the maximum amount of data that can be pumped through the channel in a fixed period of time and can be measured in terms of bits per second. described the development of communication systems that transmit data effectively with limits on the exchange of the SNR and bandwidth. The limitations imposed on communication by the transmission with zero errors. We can consider the channel as a pipe through which we send information. Shannon worked on the channel capacity and found the equation for the band-limited signal to be transmitted over additive white Gaussian noise (AWGN) channel as follows:

$$C = W_{channel} \log_2(1 + SNR) \tag{1.7a}$$

Nyquist had given another formula:

$$C = 2W_{channel} \log_2 M \tag{1.7b}$$

Here,  $W_{\text{channel}}$  is the channel bandwidth in hertz and *SNR* is the power ratio in general, in which *S* is the signal power and *N* is the noise power in watts. Equation (1.7) gives the maximum possible data transmission when 1 bit/symbol is transmitted;  $M = 2^k$  are the signalling levels. If more bits per symbols are being transmitted, then the maximum rate of transmission of information in symbols per second is  $C_s$ , and for *k* bits/symbols, we can say  $C = kC_s$ . Combining Nyquist and Shannon relationships, k = C/symbol rate, where *C* is the maximum bit rate capacity, *k* is the number of bits per signalling element (symbol), and symbol rate is two times the bandwidth of the signal according to Nyquist relation. There may be  $2^k$  different possible bit combinations to send in the form of symbols.

There is a parameter related to the SNR that is more convenient for determining digital data rates and error rates. It is the ratio of the signal energy per bit to the noise power density (noise power per hertz),  $E_b/N_o$ . Consider a signal that contains binary digital data transmitted at a certain bit rate R. Recalling 1 watt = 1 J/s, the energy per bit in a signal is given by

$$E_b = ST_b$$

where S is the signal power and  $T_b$  is the time required to send 1 bit. The data rate is just  $R = 1/T_b$ . For thermal noise,

$$E_b/N_o = S/KTR$$

**Example 1.3** A standard 4 kHz telephone channel has an SNR of 25 dB at the input to the receiver. Calculate its information-carrying capacity. In addition, find the capacity of the channel if its bandwidth is doubled while the transmitted signal power remains constant.

Solution SNR = antilog (25/10) = 316Capacity of the channel in the first case

 $C = 4000\log_2(1 + 316) = 33.233$  kbps

If the SNR is 316, it means that when the signal power is 316 mW, the noise power is 1 mW. Now, the bandwidth is doubled with no change in the signal power, effectively, the noise power is doubled due to twice the bandwidth. Hence, the SNR drops to half the original value.

Capacity in the second case

 $C = 8000\log_2(1 + 316/2) = 58.503$  kbps

Thus, the capacity of the channel has increased.

#### **1.4 TYPES OF SIGNALS**

Appropriate signal processing can be applied in the transmitter, as well as receiver, if and only if we know the type of the signal. If we are aware of the nature of the signal, we can treat it in the time or frequency domain and can identify the changes applied. In addition, we can decide the approach to deal with the system and performance parameters of the system.

#### 1.4.1 Analog and Digital Signals

Signals are classified in terms of the nature of amplitude. Normally, they are represented in the time domain.

Let us define the analog and digital signals once again in terms of DSP. A signal whose amplitude takes all the values in the specified range over the measuring interval or time, and is continuous in time is called an *analog signal*. Here, the signal can take an infinite number of values, and precision is dependent upon the resolution of the system. If the signal amplitude takes a finite number of values and not all, it is called a *digital signal*. Binary is a special case of digital signal and takes only two values, one each for logic 0 and 1.

#### 1.4.2 Continuous-time and Discrete-time Signals

Signals are classified on the basis of time as continuous-time and discrete-time signals, and are represented in the time domain. A continuous-time signal is specified for every value of time, whatever precise time can be resolved, whereas a discrete-time signal is specified with the gap of measuring instants.

The following are the mathematical representations for signals with peak value  $A_0$ :

 $s(t) = A_0 \sin \omega t$  (continuous-time signal with time variable t)

 $s(n) = A_0 \sin \omega n / N$  (discrete-time signal with index variable *n*)

where N is a period of n samples.

A discrete-time signal is represented at discrete instants of time with its natural value or quantized value. The time variable is not continuous and hence, a discrete-time signal can be represented as a sequence of numbers.

From these two types, four different signal categories can be formed:

- (a) Continuous-time analog signal (real-time signals)
- (b) Continuous-time digital signal (square wave representing a binary signal)
- (c) Discrete-time analog signal (with natural value of samples)
- (d) Discrete-time digital signal (with quantized value of samples)

A discrete-time signal is represented as a sequence s(n), where *n* can take in a set of values in the integer range  $-\infty$  to  $+\infty$ . In most cases, the discrete-time signal s(n) is obtained by sampling a continuous-time signal s(t) at periodic interval  $\Delta T_s$ . So, we can write  $s(n) = s(t)|_{t=n\Delta T_s}$ .

A discrete-time system is one that accepts a set of sequences  $s_i[n]$  (*i* stands for the *i*th sequence) and produces a set of sequences  $r_i[n]$  as output.

#### 1.4.3 Periodic and Aperiodic Signals

A signal is said to be periodic for some positive constant  $T_0$  (or N for a discrete signal), that is, a fixed interval, if it satisfies the following conditions:

 $s(t) = s(t + T_0)$  for all t (continuous time) (1.8a)

$$s(n) = s(n+N)$$
 for all  $n$  (discrete time) (1.8b)

The smallest value of  $T_0$  that satisfies this condition is called a *period* in terms of time unit. It is obvious that s(t) will remain the same when it is shifted in time by one period. A periodic signal

Note: For the class of periodic signals, decomposition in sinusoidal components is called a *Fourier series*, whereas for the class of finite energy signal (aperiodic), it is called a *Fourier transform*.

Voice signal is a continuous-time analog, aperiodic, random, and energy signal. must start at  $-\infty$  and continue forever. Moreover, it can be generated by repeating the signal s(t) with the period  $T_0$  infinite number of times. The instants from and to which instants of time the period is measured is immaterial due to periodicity; the shape of s(t) during that period must repeat itself an infinite number of times. The signal that occurs for a finite duration of time is called an *aperiodic signal*. Here, the shape

of s(t) is not repeated an infinite number of times. It is a time-limited non-repetitive signal.

Most signals of practical interest can be decomposed into a sum of sinusoidal signal components. The signals in the time domain and the corresponding frequency domain equivalents are given in Table 1.1.

Time domain signal	Frequency domain equivalent
Continuous time, periodic	Discrete spectrum, aperiodic
Continuous time, aperiodic	Continuous spectrum, aperiodic
Discrete time, periodic	Discrete spectrum, periodic
Discrete time, aperiodic	Continuous spectrum, periodic

Table 1.1	Time and frequency	domain signa	al equivalents
-----------	--------------------	--------------	----------------

### 1.4.4 Deterministic and Probabilistic Signals

A signal can be classified as deterministic if there is no uncertainty with respect to its value at any instant of time. Probabilistic signals, also known as random or non-deterministic signals, cannot be predicted, that is, there is some degree of uncertainty. Deterministic signals can be represented with a mathematical expression, which will be unique. Random signals are generated from random or stochastic processes.

Random functions of time are often referred to as stochastic signals. A stochastic signal may be continuous or discrete in time and may have continuous-valued or discrete-valued amplitudes. Stochastic processes are classes of signals whose fluctuations in time are partially or completely random; examples of such signals are speech, music, image, time-varying channel response, noise, and video. Stochastic signals are completely described in terms of the probability model and theory, but can also be characterized with relatively simple statistics, such as the mean or statistical averages, correlation, and power spectrum. They must deal with the ensemble averages, variance, probability distribution function (PDF), cumulative distribution function (CDF), and so on. Readers can refer to any book on statistical signal modelling to explore these topics further.

#### 1.4.5 Energy and Power Signals

Power is related to signal voltage or current. Here, the continuous-time analog signal is considered, and therefore, we have to deal with integrations in the subsequent formulas. Power signal can be defined as

$$P(t) = \frac{V^2(t)}{R}$$
 or  $P(t) = i^2(t) \times R$  (1.9)

where R is the resistance across which power is measured.

In a communication system, power is often represented in the normalized form, assuming  $R = 1 \Omega$ , though the resistance may have another value in the actual circuit. The actual value of power is obtained by denormalizing the normalized power value. Conventionally,

~

irrespective of whether the signal is of the voltage or current waveform, the normalization convention for power allows us to express the instantaneous power as

$$P(t) = s^{2}(t)$$
(1.10)

Energy dissipated in the time interval -T/2 to T/2 of a signal with instantaneous power is measured by the following expression:

$$E_{S} = \int_{-\frac{T}{2}}^{\frac{T}{2}} s^{2}(t)dt$$
(1.11)

The average power dissipated by the signal during the same interval is

$$P_{av} = \frac{E_s}{T} = \frac{1}{T} \int_{\frac{-T}{2}}^{\frac{T}{2}} s^2(t) dt$$
(1.12)

The performance of the communication link depends on the energy of the received signal. Higher the energy, more accurate the signal detection. At the same time, power is the rate at which the energy is delivered. This is necessary because voltages, currents, or EM field intensities are related to powers and they need to be designed as per power requirements. The signal s(t) can be converted into the discrete form by sampling, and samples can be written as s(n), where *n* is the index value. All these formulas can be rewritten by replacing the integration with summation and s(t) with s(n). Similarly, the changes can be applied to energy and power signals as well.

This fundamental knowledge can be used to differentiate between energy and power signals. While analysing the signals, it is often desirable to deal with the waveform energy  $E_s$ . We can classify s(t) as an energy signal, if and only if, it has finite but non-zero energy for all time, that is, when  $T \rightarrow \infty$ .

$$E_s = \int_{-\infty} s^2(t) dt \quad \text{(continuous-time signal)} \tag{1.13a}$$

$$E_s = \sum_{-\infty}^{\infty} |s(n)|^2$$
 (discrete-time signal) (1.13b)

In the real world, transmitted signals have finite energy  $(0 < E_s < \infty)$ . A finite energy signal has zero average power. However, in order to describe periodic signals, which by definition exist for all time and thus have infinite energy, these are called power signals. Even random signals having infinite energy are power signals. If  $E_s$  is infinite, the average power  $P_s$  may be either finite or infinite. A signal is defined as a power signal only if it has finite but non-zero power for all time *t*.

$$P_{s} = \lim_{T \to \infty} \frac{1}{T} \int_{-\frac{T}{2}}^{\frac{T}{2}} s^{2}(t) dt$$
(1.14)

To study random signals, mathematical models based on the PDF and exhibiting their behaviour are used.

For signal  $s(n) = Ae^{j\omega_n}$  has average power  $A^2$ .

The classification of energy and power signals is mutually exclusive. An energy signal has finite energy but zero average power (e.g., deterministic and aperiodic signals) and can be generated in a laboratory. A power signal has finite

Ramp signal is neither the energy signal nor the power signal. average power but infinite energy (e.g., periodic and probabilistic signals). It is impossible to generate a true power signal in practice, because such a signal has infinite duration and infinite energy.

From the theory of linear systems, Parseval's theorem states that the Fourier transform preserves energy and power. However, the energy (or power) in the complex envelope is not equal to the corresponding energy (or power) in the corresponding band-pass signal.

#### **1.5 TYPES OF COMMUNICATION SYSTEMS**

There are two possible options in many scenarios while dealing with communications between two hardware ends—a transmitter and a receiver.

- The input (or baseband) signals may be analog or digital
- The channels may be wired (guided) or wireless (unguided)
- The transmissions may be analog or digital
- The number of bits sent at a time may be serial (one bit at a time) or parallel (more bits at a time, i.e., symbols)
- The communication may be baseband or passband (general terms for broadband and wideband)
- The mode of communication may be synchronous or asynchronous
- The information may be real time or non-real time (stored data)
- The direction of transmission may be unidirectional or bidirectional

Out of the two possibilities, only one can exist at a time. To have a combination of both possibilities, either conversions or convergence in the system is required. As there are two possibilities in the input signals and two possibilities in the transmissions, according to the binary theory, four combinations of communication systems are possible. The systems may be analysed by using a qualitative approach first and then a quantitative approach. Moreover, we must analyse the ideal system and then the actual system, with noise.

In general, communication systems can be of four different types: analog input–analog transmission, analog input–digital transmission, digital input–digital transmission, and digital input–analog transmission. The different types of systems and the corresponding modulation schemes are described here for a proper visualization.

**Analog input–analog transmission** Wireless communication commercially started with amplitude modulation (AM) radio broadcasting in the range 550–1600 kHz. Thereafter, frequency modulation (FM) transmissions also started commercially in the range 88–108 MHz. In both these systems, the input was in the analog form of audio signal. These broadcast systems still exist. When analog television standards were framed, quadrature AM was selected for video information and FM for audio information for combined audio and video transmission, resulting in vestigial sideband communication. These standards are still followed to maintain compatibility with the older televisions and follow the very high frequency (VHF) and ultra-

The PCM scheme serves various stages in the communication link—analog-to-digital converter in the source coder as well as modulator in the baseband communication link. high frequency (UHF) ranges. In local loops of wired telephone lines, the analog baseband signal is transmitted without modification in the signal.

In the near future, commercial systems based on the analog input–analog transmission may become obsolete. The transient period of revolution has already started with digital broadcast systems employing A-D-A conversion stages with the digital audio broadcasting (DAB) and digital video broadcasting (DVB) standards. High definition radio (HD radio) and digital radio mondiale (DRM) systems have also come up. All these systems follow the OFDM modulation scheme, which is suitable for long-distance communication, and hence for broadcasting.

#### 20 Wireless Communication and Networks

Analog input–digital transmission The pulse code modulation (PCM) scheme, which exists for analog-to-digital conversion (ADC), is considered in the source coding stage of the wireless communication link, though it is the method for analog input–digital transmission. Thus, PCM forms the basis for the source coding stage of the wireless link for real-time input signals such as voice, image, and video. It is discussed in detail in chapter 5. Digital transmission in its baseband form is suitable only for transmissions on the wired lines. To achieve this, ADC is required, which can be achieved through the PCM scheme. PCM signals of 64 kbps bit rate are transmitted over the telephone trunk lines or over the integrated services digital network (ISDN) or broadband ISDN (B-ISDN) channels. Another method for analog input–digital transmission is delta modulation (DM), but because of its practical limitations related to slope overload and sampling rate, it is not standardized in commercial systems. PCM signals can also be converted into frames for transmissions over wired links of computer networks. Differential pulse code modulation (DPCM) and adaptive DPCM (ADPCM) are the modified and bandwidth-efficient versions of PCM.

**Digital input-digital transmission** When it is necessary to send digital information in its baseband form, the binary form of transmission may not always be suitable, as it may not be compatible with the transmission channel. In addition, the binary form of transmission adds a DC voltage level to the final transmission, which takes more energy in the signal. Therefore, it is required to convert the form of transmission by changing the bit representation format or voltage levels for shaping the signal power, and also incorporating the synchronization points in the signal. In short, the signal can be shaped as per the desired spectrum characteristics for digital baseband communication. *Non-return-to-zero* (NRZ), RZ, Manchester, differential Manchester, and bipolar are some methods that have a final digital form of transmission. These methods are normally suitable for wired line or computer networks; however, they are incorporated in wireless links as well. These methods are also called *digital signalling* as they are a suitable form for ISDN lines. It is also called *line coding*. Line coding can be applied to the digital baseband in wireless communication before the modulation stage. Refer to Chapter 7 for further discussion on this topic.

**Digital input–analog transmission** This type of transmission is mainly used in the systems that use a modem (modulator-demodulator), either over wired lines or wireless links. Here, the modulation scheme converts the input digital signal into the analog form using the carrier wave. The final wireless communication is always in the analog form. If wireless transmission can be used and the carrier frequency after modulation does not fall in the RF range, it is necessary to use an RF upconversion. If wired communication is used, only a data modem can be used without upconversion. Amplitude shift keying (ASK), frequency shift keying (FSK), M-ary phase shift keying (M-PSK), M-ary quadrature amplitude modulation (M-QAM), minimum shift keying (MSK), spread spectrum modulation (SSM), and OFDM fall into this category. The details of these modulation schemes are provided in Chapter 7.

#### 1.6 WIRED VERSUS WIRELESS MEDIA

The existing systems are not all wireless; a few are wired. The fundamentals of both types of media are described here, which will answer questions regarding the differences between the two systems and the kind of conversions required for the converged system.

The electrical signals in an open wire line, such as a twisted pair, travel at the velocity of light, which is determined by the expression

 $v = 1/sqrt(\mathcal{E}\mu)$ 

(1.15)

Analog input–digital transmission and digital input–digital transmission techniques are cascaded in practice to achieve the required form of transmission signal. where  $\varepsilon$  and  $\mu$  are the permittivity of free space (capacitance per unit length measured in farads/metre) and the permeability of free space (inductance per unit length measured in henries/metre), respectively. In free space,  $v = 3 \times 10^8$  m/s, given that  $\varepsilon = 9.854 \times 10^{-12}$  F/m and  $\mu = 4\pi \times 10^{-7}$  H/m. The signal travels as an EM wave just outside the wires (radiation). It differs from a free space EM wave (such as the one launched by a television, radio, or mobile antenna, which spreads out in all directions) only in that it is bound to and guided by the wires of the transmission line.

*Note*: Metallic wired media follows the conduction theory and undergoes radiation losses, whereas fibre and wireless media follow the theory of dielectric material as per their natures and do not have radiations.

The following wired media are mainly popular:

- (a) *Twisted pair* wirelines, unshielded twisted pair (UTP), and shielded twisted pair (STP), for conventional landline telephone systems, 10Base-T Ethernet cabling, and so on
- (b) *Coaxial cable* for closed circuit televisions (CCTV) and cable television network, Ethernet 10Base2, 10Base5 cabling, and so on and transmission lines
- (c) *Optical fibres* for long-distance communications, B-ISDN, fibre distributed data interface (FDDI), local area network (LAN), synchronous optical network (SONET), and so on

Twisted pair and coaxial cables provide a reliable, guided link that conduct an electrical signal associated with the transmission of information from one fixed terminal to another. The wires act as filters (due to lumped resistance and capacitance) that limit the maximum transmitted data rate of the channel because of band-limiting frequency response characteristics. A twisted pair wire line can typically support a 250 kbps bit rate, whereas a coaxial cable may typically support 300 Mbps. The signal passing through a wire radiates EM waves outside the wire to some extent, which can cause interference to the nearby radio signals or to other wired transmissions as a noise. These characteristics may differ from one wired medium to another. Laying additional cables in general can double the bandwidth of the wired medium.

An optical fibre is a dielectric guided medium that passes the data through itself as light waves. The carrier frequency range is of the order of  $10^{14}$  Hz. Ideally, optical fibres have infinite bandwidth, but in practice, due to the limitations of sources and detectors and the dispersion effect, the bit rate up to Tbps (terabits per second) is achieved over high-grade

#### WIRED MEDIA AS TRANSMISSION LINE

When do two connecting wires become a transmission line? It is when the capacitance between the wires and the inductance of the wires acts as *distributed* instead of *lumped*. This begins to happen when the wire approaches the dimensions of a wavelength (wavelength  $\lambda$  and frequency *f* are related by  $\lambda = v/f$ ). At sufficiently high frequencies, when the length of the connecting wires between any two devices is in the order of the wavelength or larger, the voltages and currents between these two devices act as waves that can travel back and forth on the wires. Hence, a signal sent out by one device propagates as a wave towards the receiving device and the wave is reflected unless the receiving device is properly terminated or matched. If there is a mismatch, the reflected wave can interfere with the incident wave, making communication unreliable or even impossible. Proper termination of the wired link is important when networking computers, printers, and other peripherals, which must be properly matched to avoid reflections. Transmission lines are used to carry the signal from the transmitter front end to the antenna site.

Dispersion effect is due to group delay of the multiple EM waves of the same light source propagating through the fibre and results in pulse spreading. The similar effect in a wireless channel is delay spread. optical fibres. Optical fibres exhibit *pulse spreading effect* due to dispersion and hence, bit errors may occur. A dielectric medium allows more than one frequency to pass through it and this is the case in optical fibres in the form of *wavelength division multiplexing* (WDM). A wireless medium (which is also dielectric in nature) supports more than one frequency at a time. All links undergo the effect of white noise.

Compared to wired media, the wireless medium is unreliable; though ideally infinite, it has a low bandwidth, effectively due to the delay spread and intersymbol interference (ISI) effects. However, it supports mobility due to its *tetherless* nature. Different signals through wired media are physically conducted through different

wires, but all wireless transmissions share the same medium—air—in the form of unguided EM waves released through an antenna of supporting bandwidth. Thus, it is the frequency of operation and the legality of access to the band that differentiates the variety of wireless services. Wireless networks operate in the following bands:

- 1 GHz–Cellular
- 2.4 GHz–Personal communication systems (PCS)
- 5 GHz–WLANs
- 28–60 GHz–Local multipoint distribution service (LMDS) and point to point (P2P) base station connections
- 300 GHz–Satellite ranges, infrared (IR) frequencies for optical line of sight (LoS) communication or laser communication

These bands are either licensed, such as the cellular bands, or unlicensed, such as the industrial, scientific, and medical (ISM) bands or U-NII bands used for PCS.

#### PROBLEM OF ELECTROMAGNETIC WAVE PENETRATION THROUGH DIFFERENT MATERIALS

As the frequency of operation and data rates increase, two simultaneous problems arise—the hardware implementation cost increases and the ability of a radio signal to penetrate walls decreases. For frequencies up to a few gigahertz, the signal penetrates through walls, allowing indoor applications with minimal wireless infrastructure inside a building. At higher frequencies, a signal generated outdoors does not penetrate into buildings and a signal generated indoors stays confined to a room. This phenomenon imposes restrictions on the selection of a suitable band for wireless application, though electronic cost has become less significant with time. Concrete and building structures are partially transparent to wavelengths of microwave range and attenuate the signal, and hence, the signal is weaker inside the buildings than outside. However, radio waves and microwaves cannot penetrate a lift (which is essentially a metal box), because the metal is a conductor and EM radiation can penetrate only a small distance into a conductor.

**Capacity enhancement** Wired media provide an easy means to increase capacity; we can use more wires, as and when required, if it is affordable. In contrast, in the case of the wireless medium, bandwidth is a limited resource, which imposes severe restrictions on the effective capacity. Limited bands are available for operation, and it is not possible to obtain new bands or duplicate the medium to accommodate more number of users in a system. Therefore, researchers have developed numerous techniques to increase the capacity of wireless systems to support more users with a fixed bandwidth. One such method for wireless cellular systems is *frequency reuse*, which is comparable to laying new wires in wired systems. If two cells are at a sufficient distance, then there will be no interference, even when the same frequency is used for communication in these two cells. The theory is explained in

Chapter 2. One may even reduce the size of the cells to overcome the demand of the population. In a wireless system, reducing the size of the cells by half allows twice as many users as in one cell. However, reducing the size of the cells increases the cost and complexity of the infrastructure that interconnects the cells. Multiplexing and multiple access schemes also help to accommodate more users. Capacity issues with multiple users are discussed in detail in Chapters 2 and 9 for various technologies implemented over cellular infrastructure and wireless scenario.

The capacity can be highly improved using smart antenna systems. Single input, multiple output (SIMO), multiple input, single output (MISO), and multiple input, multiple output (MIMO) systems are explained in Chapter 8. Compared to single input, single output systems, capacity increment by 300–400 per cent is possible in cellular environments with such techniques through exploiting the concepts of diversity and multipath, and then combining them. Even OFDM can support multiple users with multicarrier communication in the cellular environment.

#### 1.7 TYPES OF WIRELESS SYSTEMS

There are three types of wireless communication systems:

- (a) Wireless broadcast systems: The user is always at the receiver end.
- (b) *Wireless networks*: Multiple users can exchange data independently being a transmitter or a receiver and share the common resources as per requirement.
- (c) *Wireless navigation systems*: This is required for location-based services with the help of the global positioning system (GPS).

Modulation schemes are selected according to the suitability of the system. Wireless link requirement and protocol structures are also different.

**Wireless broadcast systems** These kinds of systems do not require the cellular structure or device identification numbers (except some special systems with encrypted data). Transmissions occur through a single transmitter and are of sufficiently high-power amplification. Within the predefined range, anybody can receive transmissions with the help of a receiver. These communications are mainly based on frequency tuning. Examples of such systems are AM/FM radio, television, direct-to-home (DTH), DAB, DVB, and mobile television systems.

**Wireless networks** These types of systems are mainly based on cellular infrastructure or ad hoc connections (forming two different types of wireless networks). Examples include mobile telephone networks, WLANs, and metropolitan area networks (MANs) for broadband access, and wireless sensor networks (in distributed configuration), which are based on cell support. For cell-based systems, at least one transceiver per cell is required, in the

Conversational cellular networks supporting data services as well have to get licensed frequency bands as they are managed by service providers, whereas ad hoc networks are selfconfigurable and use ISM band. form of either a base station or an access point. They are low-power transmitters when compared to broadcast systems. The transmitters (or transceivers) of different cells may be interlinked to form a path between the destination and source devices. These communications are based on frequency tuning plus identification number or address. Ad hoc networks do not always require a cellular infrastructure as they are self-configurable networks. Examples of such systems include Wi-fi, Bluetooth, WiMAX, and wireless sensor networks (in centralized configuration). These networks are discussed in Chapters 11 and 12.

**Wireless navigation systems** These services are used for various applications, such as providing turn–by-turn voice-based or onscreen driving directions,

automatic rerouting in case of a missed turn, real-time traffic monitoring and upgrade, alerting to slow down, and locating and navigating restaurants, Wi-fi hotspots, and maps. It is a self-correcting closed-loop system working on mobile devices. Navigation services are supported by wireless internet services.

#### **1.8 CELLULAR NETWORKS**

Cell is the basic region with a base station tower and a transceiver set having radiating power for the coverage of the basic region. A set of frequencies is allocated to the cell for communication. Multiple cells together form a cellular network. There are three types of cellular networks: cellular voice networks, cellular data networks, and cellular satellite networks. Voice networks are for conversational services, data networks are for internet access through wireless broadband services, and satellite networks are for internet access through wireless broadband services, and so on. All the three networks support mobility. Cellular design based on some theoretical aspects is very useful in practice today, without which the existing land mobile communication would be near impossible. It forms the basis for cellular telephony. Cellular networks enable calls to be routed to and from mobile phones, even when their users are moving from one cell to another. They also enable other essential operations such as access to the network, billing, and security. To support such varied operations, a cellular network comprises many elements, each having its own function to perform.

The most important part of a cellular network is the base station with antennas and its

Cellular division of an area is very useful to manage coverage, mobile device location, and handover of the services from one cell to another to have seamless connectivity during pedestrian or vehicular mobility. associated equipment. To provide seamless connectivity, the system needs to have elements of central control. It also needs to link in with the public-switched telephone network (PSTN) to enable calls to be made to and from the wire-based phones, or between the networks served by different service providers.

Various cellular systems are available, such as the global system for mobile communication (GSM) and universal mobile telecommunication system (UMTS), and each system has its own cellular standards. For example, GSM has its own well-defined structure with which the manufacturers' products can be standardized, whereas UMTS has its own structure, standards, and protocols. Despite the differences between the different cellular systems, the basic concepts are very similar. Cellular basics and various cellular networks are explained in Chapter 2.

#### **1.9 EXISTING TECHNOLOGIES**

There is an increasing demand for broadband or wideband wireless communication systems because of the need for high-speed communications (mobile internet, wireless video transmissions etc.). At the same time, the telecommunications industry faces the problem of providing telephone services to rural areas, where the customer base is small, but the cost of installing a wired phone network is very high. One method of reducing the high infrastructure cost due to a wired system is to use a fixed wireless radio network. The disadvantage with this is that to enable the rural and urban areas to communicate, large cell sizes are required for obtaining sufficient coverage. It results in problems caused by the large signal path loss and long delay times in multipath signal propagation due to long distances. If we design more number of cells for the rural area, it would be inefficient and expensive due to the low population density. Hence, a modulation technique that covers a longer distance while eliminating the problems of a wireless channel should be introduced in the system.

Other aspects that researchers are currently working on include multipath delay compensation, speed of communication or high bit rate communication, and efficient use of available spectrum for accommodating more users and applications.

### Leading Techniques of Modern Era

Several techniques play a leading role in the modernization of digital phone systems, land mobile communication, and wireless internet, with the aim of improving cell capacity, multipath immunity, security, and flexibility. Modern techniques include wideband code division multiple access (WCDMA). The latest development is the emergence of the multicarrier modulation (MCM) or multiple access technique, namely OFDM or orthogonal FDMA (OFDMA). Both these techniques could be applied to provide a fixed wireless system for rural areas. However, each technique has different properties, making it more suited for specific applications. The combinations of both these schemes are also considered to overcome the limitations and to exploit the advantages of both the systems.

The *WCDMA* technique combines two major phone technologies: code division multiple access (CDMA) and GSM. There are several key advantages of WCDMA, some of which are as follows:

- Each transmitter is assigned an identification code; hence, data from multiple transmitters can be carried over the same frequency in the same geographical area.
- It uses power control and adjusts the strength of the signal, eliminating the problem of far-off users being dominated by near users with higher signal strength.
- It is more suitable for densely populated regions and capacity enhancement as compared to CDMA.

The *OFDM* technique is for multi-user access and allows many users to simultaneously transmit in an allocated band by subdividing the available bandwidth into many narrow bandwidth carriers (described in Chapter 7). Information is allocated to several carriers in which the data is to be transmitted, so that the bits on each subcarrier are much longer, drastically reducing the ISI. Thus, it provides the concept of multicarrier modulation (multiple carriers for one digital baseband signal) rather than the conventional single-carrier modulation. The transmission is generated in such a way that the carriers used are orthogonal to one another and non-interfering with each other, thus allowing them to be packed together much closer than in standard frequency division multiplexing (FDM). This leads to OFDM providing a high spectral efficiency.

#### Broadcast Technologies

The main broadcast technologies are DAB and DVB, which are based on OFDM that forms the single-frequency network concept. Therefore, high-speed, high-quality communication has now become possible. Most of the applications are audio- and video-based entertainment; however, some data services are also supported.

Approximately 80 per cent of the world's cellular systems are based on GSM technology; most of the remaining 20 per cent are based on CDMA technology. Digital audio broadcasting is a digital radio broadcasting standard that is designed to replace the analog FM and AM radio transmissions. The development of terrestrial DAB (T-DAB) was carried out in the EUREKA 147 consortium formed by broadcasting companies, network operators, consumer electronics industries, and research institutes. The development started officially in 1987, and in 1995, the European Telecommunication Standard Institute (ETSI) standardized DAB. European Telecommunication Standard (ETS) 300–401 became the first standard to include OFDM. In 1997, the second edition of ETS 300–401 was

The bands that are allocated for public DAB services are abbreviated as terrestrial DAB (T-DAB). released, and the commercial employment of DAB started in 1998. Later, DAB included satellite as well as hybrid satellite or terrestrial broadcasting options. DAB is more robust against noise and multipath fading. It is based on wide-bandwidth broadcast technology and single-frequency network concept; that is, all the transmitters use the same transmission frequency with a very large coverage area.

Technically, there are two main ways of delivering mobile television in today's scenario: via two-way cellular network and via one-way dedicated broadcast network. Some examples of mobile television technologies include DVB-H, satellite digital multimedia broadcast (S-DMB), T-DMB, TDTV (based on TD-CDMA technology from IPWireless), China mobile multimedia broadcasting (CMMB), 1seg (one segment), which is based on Japan's integrated service digital broadcasting (ISDB-T), MediaFLO, general packet radio service (GPRS), and third generation (3G). DVB is a set of standards that defines digital broadcasting using existing satellite cable and terrestrial infrastructures. The DVB project consists of over 220 organizations in more than 29 countries worldwide. DVB standards are published by the Joint Technical Committee (JTC) of the ETSI, European Committee for Electro technical standardization (CENELEC), and European Broadcasting Union (EBU). DVB mostly uses moving picture experts group (MPEG) standards for the compression of audio and video signals. On the basis of distribution, there are four different standards:

- DVB-S is based on satellites
- DVB-C is based on the cable network in houses
- DVB-T is based on terrestrial transmission
- DVB-H is for audio/video streaming (H stands for hand-held) to broadcast television content to mobile devices such as personal digital assistants (PDAs) and mobile phones

### **Cellular Technologies**

Let us have a look at some of the cellular technologies.

**GSM and upgradations** Currently, the GSM technology is being applied to wireless telephone systems even in rural areas. GSM900, GSM1800, and GSM1900 are the three main specifications of this technology. GSM uses frequency division multiple access (FDMA) and time division multiple access (TDMA) with frequency reuse, which has limited frequency channels to communicate. Since GSM has a high symbol rate, it leads to problems with multipath, causing ISI. Hence, there was a need for a scheme that has no ISI effects at high-speed communications. Enhanced data rate for GSM evolution (EDGE) was introduced for higher bit rate solution. Many service providers compete with each other in providing the maximum possible coverage for mobile telephony. They also try to introduce advanced services to the subscribers in order to acquire the market. Hence, EDGE technology with its high-speed support received a good response and made GSM very popular in parallel data service support.

General packet radio service is the protocol by which packet radio is made possible, and hence data services are added in the GSM system with minor modifications in the infrastructure. It is designed to have wireless web access through mobile telephony service providers.

**CDMA and upgradations** In CDMA systems, all users transmit in the same frequency band using specialized separate orthogonal codes as a basis of channelization (discussed in Chapter 9). The transmitted information is spread over the spectrum by multiplying it with a wide-bandwidth pseudo-random sequence. Both the base station and the mobile station know these random codes, which are used to modulate the data sent, allowing it to descramble the received signal. The use of CDMA technology started in 1990 with the IS-95

standard, which then developed to IS-95A and IS-95B with further improvements in the voice quality, bit rate, and data services. The next development was CDMA2000. It is now a challenge to cover the global wireless communication using CDMA techniques, and hence, International Mobile Telecommunications-2000 (IMT-2000) has taken up the UMTS project. Using WCDMA, standards are developed for the system even for indoor and outdoor communication. A CDMA high data rate system has been developed by Qualcomm, now called 3G 1X EV-DO, which has improved throughput and made significant enhancements in the downlink structure of CDMA2000.

**Long-term evolution (LTE)** This new revolutionary technology is partially commercialized. It is based on subcarrier block transmissions using OFDMA in the downlink and single-carrier FDMA (SC-FDMA) in the uplink transmissions. The research work is still going on in the LTE standard. It is an emerging high-speed wireless technology, described as the fourth-generation (4G) technology, which is based on cellular division.

All these cellular technologies are discussed in Chapter 11.

### Ad hoc Networks

Ad hoc networks are the data networks established temporarily without using any infrastructure. However, they take cellular division support for some configurations in LAN and MAN. The number of users in such systems may be limited. Mostly, ad hoc networks are established for personal use or for use within a limited domain, such as an office or a plant. Due to the temporary nature of these networks and their use in personal domain for communication among personal devices, they use ISM band frequencies as their carrier frequency. ISM bands are explained in Section 1.11. Bluetooth, ultra-wideband (UWB), and ZigBee IEEE 802.15.4 (wireless sensor network) are some of the protocols for an ad hoc scenario. Wi-fi IEEE a/b/g/n is an ad hoc network with multiple configurations. The Wi-fi configuration based on the access point is similar to that of a cell because the access point acts as a base station and it has its own coverage area.

Ad hoc networks dealing with internet access follow internet protocol (IP)-based protocols. These networks allow mobility. A central challenge in the design of mobile ad hoc networks is the development of dynamic routing protocols that can efficiently find routes between two communication nodes. A mobile ad hoc networking (MANET) working group has been formed within the internet engineering task force (IETF) to develop a routing framework for IP-based protocols in ad hoc networks. Another challenge is the proper design of medium access control (MAC) protocols for multihop ad hoc networks. WiMAX IEEE 802.16x can be considered as an ad hoc network with multihop. Currently, OFDM is used as a physical layer standard in IEEE 802.11a/g/n and 802.16x protocols, HIPERLAN protocols, and so on. IEEE 802.16x are the protocols for IP-based metropolitan area broadband access networks.

### Concept of Convergence in Personal Networking and Broadband Access

The aforementioned technologies can help maintain wireless connections with mobility and ensure that information is made available whenever the user requires it. However, the nature

Convergence leads to heterogeneous networks.

of resource and information sharing differs according to user requirements, and hence, a convergence of the technologies is required.

Newly designed mobile devices can support many technologies in one device along with conventional mobile telephony services. The wireless technologies that are coexisting with second-generation (2G) GSM include UWB, Wi-fi, Bluetooth, and various 3G technologies, such as WCDMA and wireless access protocol (WAP). These technologies are working synergistically to meet the unique needs of the users. Apart from this, many systems require interworking among them to pass on the data to the appropriate destination.

Some examples of the convergence are as follows:

- Multiple WLANs can be connected to a WiMAX tower
- Sensor network can collect data through data aggregation techniques and send the collected data through Wi-fi to far-distance sites using broadband services
- A GSM operator can provide faster Internet services with speedy access protocols such as WAP and high speed packet access (HSPA)

A typical example of convergence using an integrated network scenario is shown in Fig. 1.5. All these technologies and their development phases are categorized in *generations* as per the similarity in the system capabilities, bit rate support, and so on.

Table 1.2 summarizes some of the present wireless digital communication-based systems that are already in practice. Table 1.3 gives a comparison chart for the existing and upcoming technologies for wireless networking.

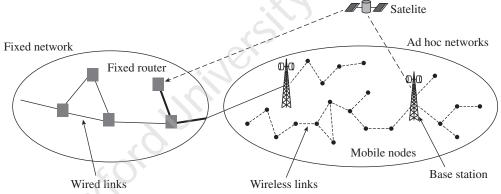




Table 1.2 Summary of applications based on existing wireless digital communication

Application	Existing standard or technology used
Mobile telephony (digital cellular telephony)	GSM, CDMA (IS-95 to CDMA 2000), WCDMA-UMTS
WLAN/MAM/WAN	IEEE 802.11(Wi-fi), 802.16(WiMAX), etc.
Personal area communication	Bluetooth
Digital audio broadcast, HD Radio, DRM	DAB
Digital video broadcast, DTH through satellite	DVB
Mobile satellite communication, global communication	Iridium, UMTS, GPS
Mobile internet access	GPRS, Mobile IPv6, WAP, LTE
Wireless local loops	DECT, CorDECT, CDMA, GSM
Mobile ad hoc networks	All WLAN/WMAN standards and Bluetooth, sensor N/w

	LTE	4G	WMAN/ WWAN	Typically 2–20 Mbps (RB through- put) up to 101.8 for 20 MHz carrier and 162.9 for 2×2 MIMO	Typically 3–18 miles	Multiple bands such as 700, 800, 900, 1700, 1800 MHz 2.1, 2.6 GHz etc. (Different bands for FDD and TDD modes)*
Table 1.3 Comparison of most-recent wireless networking technologies	UWB	802.15.3a	WPAN	110- 480Mbps	Up to 30 feet	7.5 GHz
	WIMAX WIMAX WCDMA/ UMTS	3G	WWAN	Up to 2Mbps (Up to 10 Mbps with HSDPA technology)	Typically 1–5 miles	1800, 1900, 2100 MHz
	WIMAX	802.16e	WMAN Portable	Up to 30Mbps (10 MHz BW)	Typically 1–3 miles	2-6 GHz
	WiMAX	802.16d	WMAN Fixed	Up to 75Mbps (20 MHz BW)	Up to 300 Typically feet 4-6 miles	Sub 11 GHz
	Wi-fi	802.11g	WLAN	Up to 54 Mbps	Up to 300 feet	2.4 GHz
	Wi-fi	802.11b	WLAN	Up to 11 Mbps	Up to 300 feet	2.4 GHz
	Wi-fi	802.11a	WLAN	Up to 54 Mbps	Up to 300 feet	5 GHz
	Bluetooth	802.15.1	WPAN	Up to 720 kbps	Up to 30 feet	2.4 GHz
	CDMA 2000/1 x EV-DO	3G	WWAN	Up to 2.4 Mbps (typical 300–600 kbps)	Typically 1–5 miles	400, 800, 900, 1700, 1800, 2100 MHz
	EDGE	2.5G	WWAN	Up to 384 Kbps	Typically 1–5 miles	1900 MHz
		Standard	Usage	Throughput	Range	Frequency

© Oxford University Press. All rights reserved.

Fundamentals and Present Scenario 29

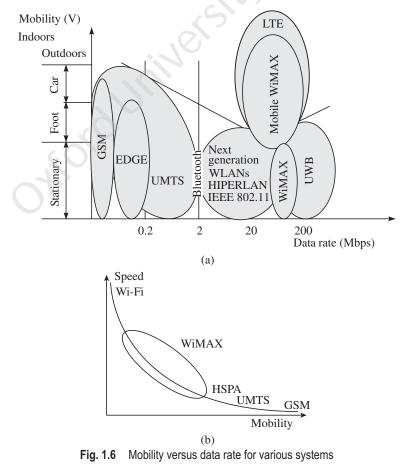
\*TDD-Time Division Duplex FDD-Frequency Division Duplex

## 1.10 EVOLUTION OF WIRELESS SYSTEMS

In general, the communication link requires a transmitter, a channel, and a receiver to transfer data. Here, the real-time signals and data must be modified in accordance with the channel characteristics and in a suitable detectable format, so that they can be communicated reliably through the media. Wired or wireless media can be chosen for transmission, but at the transmitter and receiver ends, a large amount of signal processing is required; hence, hardware designs need to pay more attention to the portability of the devices and should ensure good quality of reception at the same time. In the present scenario, we have a combination of systems that may have wireless infrastructure with an extensive wired support. However, the future scenario is going to be *wireless everywhere* providing the facility of mobility to the user. Hence, the following points need to be taken into consideration:

**Mobility and speed of communication** There is a trade off in the systems between the mobility of the user and the speed of communication achieved, as shown in Figure 1.6(b). Figure 1.6(a) represents the mobility versus data rate for various systems. It is an approximate and relative representation. It can be seen that the mobility and bit rate are increasing with the generations. The following can be observed from the figure:

 GSM provides the best mobility but very low data rate support, whereas EDGE achieves higher bit rate but compromises vehicular mobility.



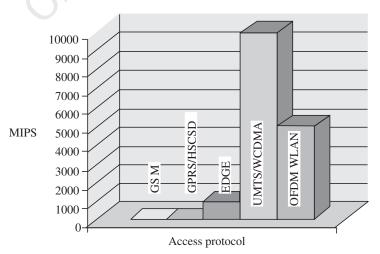
The cellular infrastructure for UMTS and LTE follow almost similar architecture to that of GSM with the required upgradations in the interfaces and system components.

- Considerable mobility is achieved with UMTS with a little compromise on the bit rate.
- IEEE 802.16e mobile wireless broadband access system and LTE are found to have vehicular mobility with a higher bit rate.
- Bluetooth and Wi-fi do not require high mobility conditions, as they are small area networks and are mostly operative in personal domains. Such low-power systems perform well in terms of data rate.
- UWB gives the highest data rate.

Wireless communication versus mobile communication There exists a very thin line of difference between wireless communication and mobile communication. Basically, in wireless communication, the focus is on the main link (transmitter + channel + receiver) and its fundamentals for communication, including various blocks of processing the information signal described in Chapters 5 to 8. Here, it is necessary to know the various methods of modifying the data or real signals, modulation schemes, channel characteristics, receiving methods, and so on. Cellular theory provides the systematic platform to have the infrastructure for developing wireless communication links for multiple users without interference. Using the cell concept, users can be identified uniquely even in the mobility mode. In mobile communications, the main focus is on cell-based wireless multi-user telecommunication systems, for which standards and protocols are developed. Here, the user is assumed to be either in steady or in mobility mode.

Wireless communication aims for an optimized wireless link whereas mobile communication aims for an optimized mobile system including architecture and protocols.

Growth in hardware Wireless communications were initially developed for military purpose. Gradually, the development in computers, DSP, and chip technology enabled rapid progress in the development of portable, sophisticated wireless units, such as mobile phones as well as laptops and palmtops based on Centrino technology. DSP has become indispensable for existing wireless systems. Today's wireless communication systems are mostly based on processors, VLSI/ASIC/ FPGA chips, microstrip RF circuits, and PC interface. Figure 1.7 shows that faster DSP processors (compared in terms of multi-instructions per second-MIPS) are incorporated in systems to support higher bit rate. MIPS is the measure to compute the speed of a DSP processor.





Frequency planning is required to serve millions of users in terms of different services without interference and using the limited spectrum efficiently. **Frequency planning** This is necessary to have frequency planning for various wireless systems to coexist. Wireless channel is an unguided dielectric media and hence, the frequency ranges it can support are ideally infinite. Still, due to many reasons, the full available spectrum cannot be utilized. The RF and the above range utilized for wireless communication are systematically shared; different ranges are used for different applications. Various frequency ranges from the satellites provide global coverage to the cellular system, covering 50–70 km. In contrast, LANs and personal area networks (PANs) provide a maximum range of a few to hundred metres. Hence,

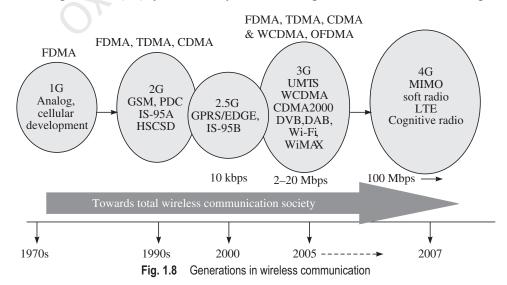
the carrier frequency requirement also varies. If the systems are to coexist, they would obtain a crowded frequency spectrum, since there are many factors that want their share of limited frequency resource. Therefore, it is extremely important to use spectrally efficient signal strategies. The current trend to achieve high spectral efficiency is to use adaptivity on all four dimensions: time, frequency, power, and phase. The cellular theory in Chapter 2 and the multiplexing and multiple access techniques in Chapter 9 provide the best techniques of frequency planning.

*Note*: In short, the requirements of wireless communication include high speed/high bit rate, high spectrally efficiency, zero ISI/ICI, convergence, anywhere and anytime, global coverage, multimedia support, wireless, and digital communication systems.

Latest techniques such as WCDMA, OFDM, Hybrid OFDM, and MIMO will fulfil most of these requirements. Moreover, new approaches, such as software defined radio and cognitive radio, are coming up with a fixed set of hardware (Processor, FPGA, etc.) but with programmable software support to perform signal processing tasks, providing options such as different channel coding or different modulation scheme selection.

### 1.10.1 First- to Fourth-generation Wireless Systems

There is no specific measure to calculate the years of generations in wireless communication. Rather, the generations are measured on the basis of the considerable innovations in the standards and applications. Analog systems are considered as the start-up and hence they are known as the first-generation (1G) systems. The systems of other generations are illustrated in Fig. 1.8.



© Oxford University Press. All rights reserved.

4G systems are targeted with the bit rate of the order of 100 Mbps and more and that is possible due to multicarrier technique. As mentioned, it is very difficult to distinguish the systems on the basis of generations. For simplicity, complete analog systems mainly dealing with audio (except television with analog video) are classified as 1G systems, including analog mobile phone systems (AMPS). Partially analog and digital are classified as 2G systems. In these systems, audio and images were able to communicate, and the bit rate was very low, about 10–50 kbps. Fully digital systems with audio, image, and video are classified as 3G systems. There was

a tremendous rise in the bit rate, of the order of 2–20 Mbps and even up to 54 Mbps in Wi-fi and WiMAX. In 4G systems, high-speed, fully digital, anywhere, anytime, and converged wireless communication is expected with total multimedia. The expected bit rate may reach up to 100 Mbps or more in wireless environment. With evolution in WiMAX standards, UWB, and LTE, development in the 4G systems have started.

The following can be stated as the major differences in the generations:

- 1G—Cell structure, analog communication
- 2G-Cell structure, digital communication, convolution coding, power control
- 3G—Hierarchical cell structure, turbo coding, Hybrid Automatic Repeat Request (HARQ)
- 4G—Smart antenna, adaptive systems over above scenario

Why does a wireless channel face the problems of high bit rate? The channel faces the problem of delay spread due to multipath fading, meaning that the channels are time dispersive; this is discussed in detail in Chapter 3. Spreading results in merging of two consecutive pulses. If the bit rate is too high, the bit duration is low; hence, due to the merging, it is very difficult to identify the two separate pulses. This limits the bit rate of the system. Higher-order M-PSK, diversity mitigation techniques such as MIMO, or multicarrier techniques such as OFDM can eliminate the problem of higher bit rate.

The 2G technology for mobile communication originated during the 1990s, before which the conventional telephony based on wired lines was being used. A few military wireless applications and AM, FM, television, radar, and satellite communication systems were the only wireless systems implemented and known to the people. The revolution started with two new systems: the Internet based on wired lines and the cellular-based GSM that depend on wireless channels mainly for voice communication. In 2000, data transmission in the GSM was enhanced, resulting in GPRS, which could use any number of time slots among the total eight slots available for sending data. The technology exists with a data rate of 14.4–64 kbps. Another high-speed data enhancement was made in GSM, called EDGE, in which the modulation scheme is changed from *Gaussian minimum shift keying* (GMSK) to 8-PSK and the transmission data rate can be up to 500 kbps. The GSM system initially was focused on voice services with circuit switching, whereas the current 2.5G technology is focused on circuit-switched voice service and packet-switched data services.

The major challenges before the implementation of 3G were as follows:

- There was slow production of mobile phones and services.
- Wireless Internet for exponentially growing users was difficult to implement until IPv6 was implemented. (Refer to any book on computer networks for IPv6, which is the protocol for IP layer and includes IP addresses for mobile networks as well.)
- Global roaming with a single number as proposed was yet to be standardized.
- Low-cost flexible mobile devices with all desirable features were yet to evolve.

All these challenges were overcome by the scientists and engineers. The 3G systems were successfully developed, solving major problems. Now, we are into the 4G technologies, moving towards the fifth generation.

The 3G technology is optimally focused on using a single interface number and an advanced core network.

### AIMS OF 3G SYSTEMS

- Anywhere and anytime mobile communication with low-cost and flexible hand-held devices
- Wireless data access, particularly with wireless Internet connection, which was motivated by the exponential growth of Internet access
- High data rate of 2 Mbps or more compared to the previous 2G systems offering 10–50 kbps
- High-speed multimedia or broadband services causing shift from voice-oriented services to Internet access (both data and voice), video, graphics, and other multimedia services
- Global roaming support and global communication
- Use of spectrum around 2 GHz and higher whereas spectrum allocation for 2G was 800/900 MHz

The 2G technology offered a quiet satisfactory voice communication, but with growing data traffic, the 3G technology has mainly targeted data services, particularly the Internet traffic. The main service component of the 3G technology is quality and reliable data traffic. The journey from 2G to 3G proceeded with an intermediate halt on 2.5G, which provides reliable services with minimal investment. The UMTS is a typical 3G system that uses WCDMA technology as mentioned previously and has the following aims:

- Data services up to 2 Mbps in rural or urban environment
- Voice over a packet-switched IP-based network
- Good spectral efficiency and low delay
- Complete mobility to the user
- Typical applications :
  - Speech—teleconferencing and voice mail
  - Message—short message service, email, etc.
  - Switched data—low-speed LAN, Internet, etc.
  - Define the state of the state o
  - <sup>D</sup> High multimedia—video clips, online shopping, and fast LAN and Internet
- High interactive multimedia, for example, video telephony and video conferencing

Some important UMTS applications and their requirements are listed in Table 1.4.

Applications or services	Data rate required	Quality of service required	Time critical data
Messaging (email, etc.)	Low (1–10 kbps)	High	No
Voice	Low (4–20 kbps)	Low (BER < 1e-3)	Yes
Web browsing	As high as possible (>10–100 kbps)	High (BER < 1e-9)	Depends on the material; generally not time critical
Videoconferencing	High (100 kbps–2 Mbps)	Medium	Yes
Video surveillance	Medium (50–300 kbps)	Medium	No
High-quality audio	High (100-300 kbps)	Medium	Yes
Database access	High (>30 kbps)	Very High	No

 Table 1.4
 Important UMTS applications and their requirements

### 1.10.2 Beyond Third Generation

During the past 20 years, wireless networks have evolved from the analog, single-medium (voice), and low data rate (few kbps) systems to the digital, multimedia, and high data rate (10–100 Mbps) systems of today.

The International Telecommunication Union (ITU) in July 2003 had made the following requirements for a 4G system:

- At a standstill condition, the transmission data rate should be 1 Gbps.
- At a moving condition, the transmission data rate should be 100 Mbps.

With these high-speed data systems, it is possible to provide users many advanced applications, such as video streaming. A potential 4G system could be used in the family of OFDM, because OFDM can have a transmission data rate of 54–70 Mbps, which is much higher than what a CDMA system can provide. A comprehensive, integrated broadband mobile communication will step forward into all-mobile 4G service and communication. The 4G technology is developed to provide high-speed transmission, next-generation Internet support (IPv6, VOIP, and mobile IP), high capacity, seamless integrated services and coverage, utilization of higher frequency, low mobile cost, efficient spectrum use, quality of service and end-to-end IP system. In short, the 4G requirements are as follows:

- High-speed data communication
- Best quality voice
- Multimedia on mobile
- LAN and intranet or Internet on mobile

## 1.11 LICENSED AND UNLICENSED BANDS FOR EXISTING WIRELESS SYSTEMS

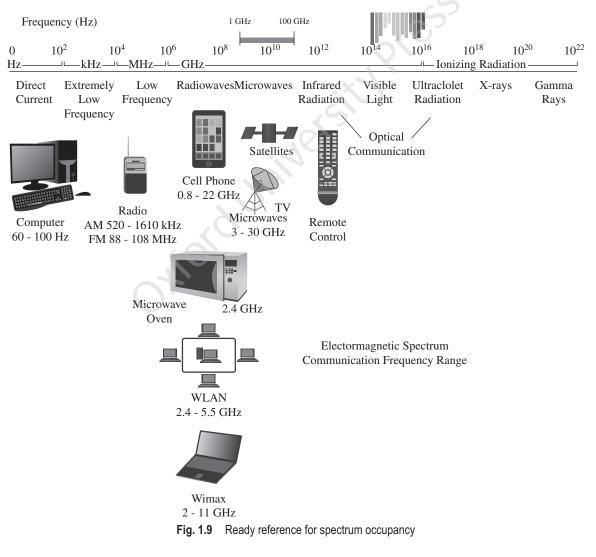
Wireless channel is shared by a number of users, and the frequency ranges are provided systematically to the users, services, or applications for reliable communication (refer to Chapters 2 and 9). A few frequencies are allocated to the cellular mobile operators, such as Airtel, Hutch, or Idea, who pay heavy charges for using the allocated ranges. Even satellite channels are paid channels because of this reason. Mobile operators cannot invest in huge private infrastructure, such as satellites; moreover, they have to follow government rules. Hence, they have to get the licensed bands for communication. Mobile communications based on GSM and CDMA are made over licensed bands.

Presently, some technologies limited to the user's area without the need for huge or global infrastructure are developed. Some applications of these technologies are PAN, based on Bluetooth, UWB, and WLAN, based on Wi-fi, which are small area communication systems. The frequency range of operation is 2.4–5.6 GHz. Actually, these bands are international bands for scientists and medical officers. As the systems are not concerned with other such systems at far distances, independent communication is possible. For example, in Bluetooth applica-

Ad hoc networks are operated in the unlicensed band, whereas infrastructure-based cellular network operators need to pay for the licensed frequencies. tions, one device with Bluetooth support will search for other active Bluetooth devices within an area of 10 metres. The list of devices will be displayed on the screen and the required device can be selected from the list for communication. Even if any other Bluetooth device is active beyond this range, it will not be listed or connected with the device. These communications are called *unlicensed band communications*. Since they are based on spread spectrum or OFDM technology, secure communication is possible. In spread spectrum techniques, orthogonal codes are present, whereas in OFDM, orthogonal carriers are present.

### 1.11.1 Spectral Policies

There is a rapidly increasing growth of wireless services as well as development of new technologies. Consequently, the demands on the use of the RF spectrum are rapidly increasing for both the federal government and non-federal users. The spectrum is heavily occupied in the 0.8–11 GHz range for land mobile systems and 3–30 GHz for television and satellite ranges. An approximate representation is given in Fig. 1.9. The spectrum is shared among many service providers. The services include defence and military applications too, and therefore, the spectrum must be managed with certain policies. There is a continuous revision in the spectrum management policies to satisfy domestic and international uses to cope with the latest development and usage scenario. The US, the UK, and many other countries have their own body to manage such concerns and to take specific actions to improve the spectrum management. Policies vary from country to country.



## MORE SOLVED EXAMPLES

**Example 1.4** Identify the type of signal (energy or power) shown in Fig. 1.10 and calculate the suitable measure.

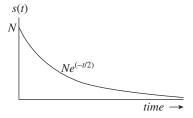


Fig. 1.10 Exponential function for Example 1.4

Solution The signal shown in Fig. 1.10 is an exponentially decaying signal that approaches zero as t approaches infinity. It is not a periodic signal. Hence, it is an energy signal. The suitable measure is energy  $E_s$ . From Eq. (1.13a), for a continuous signal

$$E_s = \int_{-\infty}^{\infty} s^2(t) dt = \int_{0}^{\infty} (Ne^{-t/2})^2 dt = \int_{0}^{\infty} N^2 e^{-t} dt = N^2$$

(*Note*: Readers can try to identify from various other functions whether it is a power signal or an energy signal.)

**Example 1.5** Show that the frequency spectra of the square wave shown in Fig. 1.11, is sinc shaped. [Hint: sinc function is of the form sin(x)/x.]

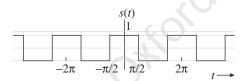


Fig. 1.11 Square wave for Example 1.5

Solution It is better to represent the square wave s(t) in its exponential Fourier series form to get the frequency domain coefficients and the symmetrical form of the spectra.

The signal s(t) can be written in its exponential series form as

$$s(t) = \sum_{n = -\infty}^{\infty} c_n e^{jn2\pi f_0}$$

where  $f_0 = 1/T_0$ , and  $T_0$  is the duration  $2\pi$ .

$$C_0 = \frac{1}{T_0} \int_{T_0} s(t) dt = \frac{1}{2}$$
$$c_n = \frac{1}{T_0} \int_{T_0} s(t) e^{-jn2\pi f_0 t} dt \, n \neq 0$$

$$= \frac{1}{T_0} \int_{-T_0/4}^{T_0/4} e^{-jn2\pi f_0 t} dt$$
$$= \frac{1}{-jn2\pi f_0 T_0} \left[ e^{-jn2\pi f_0 T_0/4} - e^{jn2\pi f_0 T_0/4} \right]$$

Rearranging the terms as per the definition of sine wave in terms of the exponential form and substituting  $f_0T_0 = 1$ , we get

$$c_n = \frac{1}{n\pi} \sin\left(\frac{n\pi}{2}\right)$$

This is the mathematical representation of a sinc function.

Now, for 
$$n = 1, c_n = \frac{1}{\pi} \sin\left(\frac{\pi}{2}\right) = \frac{1}{\pi}$$

for 
$$n = 2, c_n = \frac{1}{2\pi} \sin\left(\frac{2\pi}{2}\right) = \frac{1}{2\pi} \sin\pi = 0$$

and so on. On plotting the discrete components and their values and joining them, the since shape is obtained (Fig. 1.12).

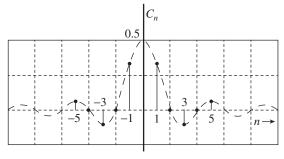


Fig. 1.12 Result of Example 1.5

**Example 1.6** Find the Fourier transform of the unit impulse signal  $\delta(t)$ .

Solution  $s(t) = \delta(t)$ , where  $\delta(t)$  occurs at a time instant t = 0

or 
$$s(f) = \int_{-\infty}^{\infty} \delta(t) e^{-j2\pi f t} dt = e^{-j2\pi f \cdot 0} = 1$$
  
or  $\delta(t) \iff 1$ 

It occupies the whole spectrum. This property can be observed in AWGN noise (Chapter 4).

(*Note*: The various useful Fourier transforms for communication systems are for rectangular pulse function, sinc function, and sinusoidal wave. Readers may go through them as a self-study.)

**Example 1.7** Establish the relationship of  $E_b/N_o$  with the channel capacity.

Solution As per Shannon–Hartley theorem, Channel capacity  $C = W \log_2(1 + S/N)$ Signal power = Bit energy  $E_b \times$  bit rate C Noise power = Noise spectral density  $N_o \times$  bandwidth W Substituting the values, we get

$$E_b/N_o = [2^{C/W} - 1]/(C/W)$$

# SUMMARY

- The bit rate defines the rate at which information is passed, whereas the signalling rate defines the baud rate. The symbol rate is the number of symbols per second; each symbol represents *n* bits and has *M* signal states, where  $M = 2^n$ . This is called M-ary signalling. Baud is synonymous to symbols per second or pulse per second.
- Using the transforms, any time domain signal can be analysed into its frequency components. For every signal, the signal defines the spectrum and the spectrum defines the signal; that is, they are unique and opposite conversions ideally (especially for linear systems) but may not be so practically.
- Bandwidth gives important information about useful frequency components.
- The SNR and bandwidth are exchangeable and are to be balanced always to decide the channel capacity.
- Voice, video, and other real-time signals are energy signals.
- The final RF transmission is always in the analog form, but the baseband signal inputted to the modulation stage decides whether the wireless communication link is an analog link or a digital link.
- A wireless link transmitter employs source coding, channel coding, modulation, and upconversion, and the opposite blocks are at the receiver side.

- With different combinations of coding and modulation schemes, different responses of the wireless systems can be observed. Hence, the selection of an optimum set-up of the protocols and standards is a matter of balancing the requirements.
- Line coding is applied to digital baseband for obtaining the desired spectral characteristics.
- OFDM and CDMA are the important modulation techniques for the latest wireless systems and for nextgeneration networks.
- GSM is the first digital wireless system, which was then upgraded to EDGE and is supported by the GPRS packet radio protocol.
- UMTS targets worldwide mobile communication with a unique user number.
- WPAN, WLAN, and WMAN are three major networks with different sizes and are based on the IP protocol.
- LTE is a 4G network based on OFDMA.
- The major systems in the broadcast technologies are DAB and DVB.
- Unlicensed (ISM) band communications are allowed only for personal area communication systems such as Bluetooth and are operated at 2.4–5.6 GHz. Infrastructure-based mobile networks use license bands, in which frequencies are planned out for the coexistence of the systems.

# EXERCISES

#### **Multiple-choice Questions**

- 1.1 If the transmission bandwidth is *W* and the available channel bandwidth is *W*<sub>channel</sub>, what should be the condition that will allow fruitful reception?
  - (a)  $W = W_{\text{channel}}$  (c)  $W > W_{\text{channel}}$
  - (b)  $W < W_{\text{channel}}$  (d) All of these
- 1.2 If the bit rate of a data is 1 Mbps, what should be the bandwidth occupied by the rectangular wave?(a) 1 MHz(c) 0.5 MHz
  - (d) 1 MHz (d) 2 MHz
  - $(\mathbf{u}) = \mathbf{u} + \mathbf{u}$
- 1.3 Real audio/video signal is a/an(a) energy signal(c) deterministic signal

(b) power signal

(d) periodic signal

- 1.4 Unit ramp signal is(a) an energy signal
- (c) a periodic signal
- (b) a power signal (d) none of these
- 1.5 Which of the following measures cannot be effective in reducing noise?
  - (a) Decrease in signalling rate
  - (b) Increase in channel bandwidth
  - (c) Increase in transmitter power
  - (d) Use of redundancy

- 1.6 The channel capacity C of a band-limited Gaussian channel is defined as
  - (a)  $W_{\text{channel}}\log_2(1 + SNR)$
  - (b)  $(1/W_{\text{channel}})\log_2(1 + SNR)$
  - (c)  $W_{\text{channel}}\log_2(SNR)$
  - (d)  $(1/W_{\text{channel}})\log_2(SNR)$
- 1.7 In communication receivers, fidelity is provided by the
  - (a) mixer stage (c) IF stage
  - (b) audio stage (d) detector stage
- 1.8 If a receiver has poor IF selectivity, it will, therefore, also have poor
  - (a) sensitivity (c) diversity reception
  - (b) double spotting (d) blocking
- 1.9 Noise figure is used as a figure of merit of a/an
  - (a) oscillator (c) amplifier
  - (b) modulator (d) isolator
- 1.10 The selectivity of most receivers is determined largely by the
  - (a) sensitivity
  - (b) antenna direction
  - (c) characteristics of IF section
  - (d) all of these
- 1.11 Which one of the following is not a useful quantity for comparing the noise performance of receivers?
  - (a) Noise figure
  - (b) Equivalent noise resistance
  - (c) Input noise voltage
  - (d) Noise temperature
- 1.12 Which of the following communication systems is mainly suitable for wireless digital communication?
  - (a) Analog input-analog transmission
  - (b) Analog input-digital transmission
  - (c) Digital input-digital transmission
  - (d) Digital input-analog transmission
- 1.13 Which of the following is the scheme for creating a digital database of real signals?
  - (a) Pulse code modulation
  - (b) Manchester coding
  - (c) Binary conversion
  - (d) Pulse amplitude modulation
- 1.14 Which of the following systems is a 3G system?
  - (a) Analog cellular system
  - (b) EDGE
  - (c) FM
  - (d) UMTS
- 1.15 The capacity of a wireline system can be increased by (a) TDMA
  - (b) random access
  - (c) increasing the number of wires
  - (d) all of these

- 1.16 The protocol for a Wi-fi system is
  - (a) IEEE 802.16d (c) IEEE 802.11a
  - (b) IEEE 802.15.3 (d) IEEE 802.15.1
- 1.17 Which of the following is a system in which long haul communication is involved?
  - (a) Mobile satellite communication system
  - (b) GSM system
  - (c) WiMAX system
  - (d) Bluetooth system
- 1.18 The systems that utilizes the ISM band for communication are
  - (a) GPRS and EDGE
  - (b) Bluetooth and Wi-fi
  - (c) GPRS and Bluetooth
  - (d) Bluetooth and WiMAX

### Review Questions

- 1.1 How are the communication systems classified in general?
- 1.2 How are the wireless systems classified? State the major changes in the classified wireless systems.
- 1.3 Presently, what are the systems in which partly wired links and partly wireless communication are incorporated? Can you find the types of cables used in different wired systems?
- 1.4 Prepare a list of all existing communication systems used in everyday life. Out of these, find which are wired and which are wireless and then prepare a list of the existing wireless systems and the associated standards along with their modulation schemes, bit rate, frequency range of communication, special features, and so on.
- 1.5 Write short notes on the following terms:
  - (a) Information
- (b) Transmitter els (d) Types of noise
  - (c) Types of channels(e) Receiver
    - er (f) Modulation (h) Bandwidth
  - (g) Carrier (h) Band
  - (i) SNR
- 1.6 The bandwidth of a channel is 250 KHz. What kind of information signals can be transmitted over it? Why should the system bandwidth be higher than the signal bandwidth?
- 1.7 What are the various commercial ranges for various wireless applications? Some commercial ranges are used for multi-applications. Which factors are considered to derive reliable communication in these situations?
- 1.8 With reference to Fig. 1.3, find the theoretical range of bit interval for which the bit occurrence can be detected and establish the relation with the system bandwidth.

- 1.9 What is the relationship between the fundamental frequency and the period of a signal?
- 1.10 Shannon and Nyquist formulas of channel capacity place an upper limit on the bit rate of a channel. Are they related? How?
- 1.11 What are the key factors that affect the channel capacity? Explain how the capacity is affected.
- 1.12 Explain the SNR-bandwidth trade-off.
- 1.13 Prove that the relationship between the SNR and the bandwidth expansion factor is non-linear.
- 1.14 Are the signal spectrum and the signal bandwidth the same? Why?
- 1.15 List out the various types of signals for communication described in the chapter and draw their waveforms. In which category will the audio, image, and video signals fall?
- 1.16 Find the Fourier transforms of the well-known functions square, triangular, exponential, and ramp.
- 1.17 Identify the wireless devices that incorporate various modern processors.
- 1.18 Represent an EM wave equation with its amplitude, frequency, and phase, assuming that the wave is travelling in any one direction.
- 1.19 When will a signal be a scalar or a vector? How can scalars and vectors be represented in mathematical form?
- 1.20 Compare AM, FM, and PM techniques of modulation. What are the drawbacks of these techniques that are eliminated using digital modulation techniques?
- 1.21 Why is line coding more important for wired line communication?
- 1.22 Why is the receiver a critical part of a complete wireless link?
- 1.23 Differentiate between the following terms:
  - (a) Analog and digital EM signals
  - (b) Analog and digital communication systems
  - (c) Guided and unguided media
- 1.24 List out the requirements of 4G, and from the analysis of the existing standards, find the points at which we are lacking.

or

Which are the areas that should be concentrated upon by the scientists and engineers to have a reliable *anywhere*, *anytime* communication scenario?

- 1.25 Develop the requirements of a wireless digital communication transmitter and a receiver in the form of blocks and link them to form a basic link diagram.
- 1.26 List the basic requirements of UMTS and LTE systems.

- 1.27 Compare wired and wireless communication and find why a higher bit rate is a problem in the wireless link but not in the wired link. When does a wired link have the problem of a higher bit rate?
- 1.28 How can we increase the user accommodation capacity on wired and wireless links?
- 1.29 How do licensed and unlicensed band communications differ?
- 1.30 Discuss the major changes that took place in the communication systems from the first to the fourth generations in general. Also, discuss separately the changes in the 1G to 4G wireless systems.
- 1.31 How can you say that wireless digital communication exhibits interdisciplinary approach?

#### Numerical Problems

- 1.1 If the bit rate is to be maintained at 10 Mbps, what modifications should be made in a system to cope with SNR variations between 10 dB and 20 dB?
- 1.2 If square pulses, each of duration 0.05 μs, are to be transmitted at a carrier frequency 100 MHz, what will be the shape of the spectrum? According to this spectrum, find the following:
  - (a) Null to null (significant energy) bandwidth
  - (b) Fractional power containment bandwidth
  - (c) Bounded power spectral density
  - (d) Absolute bandwidth

*Hint: Fractional power containment bandwidth:* According to Federal Communications Commission (FCC) rules, the occupied bandwidth is the band that levels exactly 0.5 per cent of the signal power above the upper band limit and exactly 0.5 per cent of the signal power below the lower band limit. Thus, 99 per cent of the signal power is inside the occupied band.

*Bounded power spectral density*: Typical attenuation level might be 35 dB or 50 dB.

*Absolute bandwidth*: It is the interval between the frequencies beyond which the spectrum is zero. However, for all realizable waveforms, absolute bandwidth is infinite.

- 1.3 The energies of signals  $g_1(t)$  and  $g_2(t)$  are  $E_{g1}$  and  $E_{g2}$ , respectively.
  - (a) Show that, in general, the energy of signal  $g_1(t) + g_2(t)$  is not  $E_{g1} + E_{g2}$ .
  - (b) Under what condition is the energy of  $g_1(t) + g_2(t)$  equal to  $E_{g1} + E_{g2}$ ?
  - (c) Can the energy of signal g<sub>1</sub>(t)+ g<sub>2</sub>(t) be zero? If so, under what condition(s) will it happen?
- 1.4 Determine the energy spectral density of the square pulse s(t) = rect(t/T), where rect(t/T) equals 1 for

 $-T/2 \le t \le T/2$  and equals 0 elsewhere. Calculate the normalized energy  $E_s$  in the pulse.

1.5 The input x and output y of a certain non-linear channel are related as  $y = x + 0.22x^3$ . The input signal x(t) is a sum of two modulated signals as follows:

$$x(t) = x_1(t)\cos\omega_1 t + x_2(t)\cos\omega_2 t$$

where  $X_1(\omega)$  and  $X_2(\omega)$  are shown in Fig. 1.13.

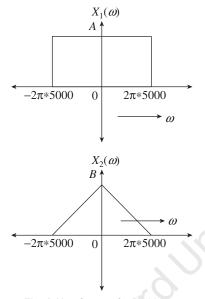


Fig. 1.13 Spectra for Problem 5

Here  $\omega_1 = 2\pi(100 \times 10^3)$  and  $\omega_2 = 2\pi(110 \times 10^3)$ (a) Sketch the spectra of the input signal x(t) and the output signal y(t).

- (b) Can the signals x<sub>1</sub>(t) and x<sub>2</sub>(t) be recovered without distortion and interference from the output y(t)?
- 1.6 Show that an arbitrary function s(n) can be represented by the sum of an even function  $s_e(n)$  and an odd function  $s_o(n)$ .

 $s(n) = s_{e}(n) + s_{a}(n)$ 

- 1.7 In a multilevel signalling, if the number of discrete signal or voltage levels is 8 in a modem and the bandwidth is 4 kHz, find the channel capacity. If the data rate is increased by increasing the number of signalling elements, for a given bandwidth, what will be the expected changes? Comment on it.
- 1.8 The bandwidth of a channel is 2 MHz and the SNR is 25 dB. Using Shannon's formula, find the channel capacity. If we assume that we can achieve this limit based on Nyquist's formula, find the number of signalling levels required.
- 1.9 A system with digital signalling is operated at 4800 bits per second. If the signal element encodes a 4-bit word, what is the minimum required bandwidth?
- 1.10 For the signal shown in Fig. 1.14, find the type of signal and suitable measure to analyze it.

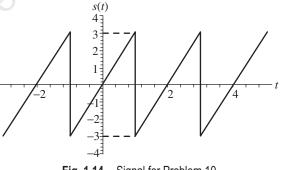


Fig. 1.14 Signal for Problem 10